

March 1st, 2019

H.E. Antonio Guterres
Secretary-General
United Nations
New York, NY 10017 USA

Dear Mr. Secretary-General:

I am pleased to confirm that CFA Institute supports the Ten Principles of the Global Compact with respect to human rights, labor, environment, and anti-corruption. With this communication, we express our intent to support the Global Compact advancing these principles. We are committed to making the Global Compact and its principles part of the strategy, culture, and day-to-day operations of our organization, and to engaging in collaborative projects which advance the broader development goals of the United Nations, particularly the Sustainable Development Goals. CFA Institute will make a clear statement of this commitment to our stakeholders and the general public through our annual report.

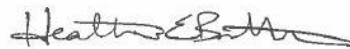
We recognize that a key requirement for participation in the Global Compact is the periodic submission of a Communication on Engagement (COE) that describes our organization's efforts to support the implementation of the Ten Principles and to engage with the Global Compact. We support public accountability and transparency, and therefore commit to report on progress within two years of joining the Global Compact, and every two years thereafter according to the Global Compact COE policy. This includes:

- A statement signed by the chief executive expressing continued support for the Global Compact and renewing our ongoing commitment to the initiative and its principles. This is separate from our initial letter of commitment to join the Global Compact.
- A description of practical actions (i.e., disclosure of any relevant policies, procedures, activities) that the company has taken (or plans to undertake) to implement the Global Compact principles in each of the four issue areas (human rights, labor, environment, anti-corruption).
- A measurement of outcomes (i.e., the degree to which targets/performance indicators were met, or other qualitative or quantitative measurements of results).

Yours sincerely,



Mr. Paul Smith, CFA
President and CEO
CFA Institute



Ms. Heather Brilliant, CFA
Chair of the Board
CFA Institute