

19<sup>th</sup> May, 2017

**H.E. António Guterres**  
**Secretary-General**  
**United Nations**  
**New York, NY 10017**  
**USA**

Dear Mr. Secretary-General,

On behalf of AMTD Group, I am delighted to submit the application for joining the UN Global Compact initiative. AMTD is a leading financial institution in Hong Kong with services coverage across China and the world. We are a comprehensive full-fledged securities service platform and cross-border investment bank in Asia as well as the largest local asset management and corporate insurance brokerage and risk solutions firm in Hong Kong.

We have always been committed to the value of “giving back to the community and upholding its social responsibility” in every aspect by supporting charity events, public services, talent development, and have become the Strategic Partner Associate of the World Economic Forum since 2016. Some of our previously sponsored events and programs include acting as the presenting sponsor of the charity dinner “amfAR Gala Hong Kong” in 2017 organized by the Foundation for AIDS Research (amfAR), the co-sponsor of National Day Charity Gala with the Hong Kong Youth Association and Hong Kong Federation of Professions, and the sponsor of the Mentoring Program of the Accounting Student Society of HKUST. In special recognition of our constant contribution to the society over these 10 years, the Hong Kong Council of Social Services has awarded us as the “10+ Caring Company”.


As a corporate citizen, we are committed to attaining corporate sustainability in various aspects. I am pleased to confirm that AMTD Group supports the ten principles of the Global Compact with respect to human rights, labour, environment and anti-corruption. With this communication, we express our intent to advance those principles within our sphere of influence. We are committed to making the Global Compact and its principles part of the strategy, culture and day-to-day operations of our company, and to engaging in collaborative projects which advance the broader development goals of the United Nations, particularly the Sustainable Development Goals. AMTD Group will make a clear statement of this commitment to our stakeholders and the general public.

We recognize that a key requirement for participation in the Global Compact is the annual submission of a Communication on Progress (COP) that describes our company’s efforts to implement the ten principles. We support public accountability and transparency, and therefore commit to report on progress within one year of joining the Global Compact, and annually thereafter according to the Global Compact COP policy. This includes:

- A statement signed by the chief executive expressing continued support for the Global Compact and renewing our ongoing commitment to the initiative and its principles. This is separate from our initial letter of commitment to join the Global Compact.
- A description of practical actions (i.e., disclosure of any relevant policies, procedures, activities) that the company has taken (or plans to undertake) to implement the Global Compact principles in each of the four issue areas (human rights, labour, environment, anti-corruption).
- A measurement of outcomes (i.e., the degree to which targets/performance indicators were met, or other qualitative or quantitative measurements of results).

AMTD Group is determined to make the world a better place. I would highly appreciate your consideration and look forward to hearing from you soon.

Sincerely yours,



Calvin Choi  
Chairman of the Board of Directors, AMTD Group