



6 April 2021

H.E. António Guterres
Secretary-General
United Nations
New York, NY 10017
USA

Dear Mr. Secretary-General,

On behalf of Livent Corporation (“Livent”), I am pleased to submit our first Communication on Progress since we joined the UN Global Compact in April 2020. With this update, we reaffirm our ongoing support for the Ten Principles of the United Nations Global Compact on human rights, labor, environment and anti-corruption.

Powering Progress

At Livent, we take great pride in our rich heritage of innovation and deep expertise in lithium technologies, built over nearly 80 years of partnering with our customers to achieve many of the breakthroughs and industry advancements that have helped shape modern life. Our culture today reflects both the pioneering roots of the company and our ongoing focus on safe, responsible and sustainable operations. At the heart of our culture is an even deeper commitment to continuous improvement and powering progress, not just at Livent, but for society at large.

Powering Progress is the theme of our upcoming 2020 Sustainability Report, which will be published by mid-June 2021. It will describe the progress we have made as a company across Environment Social Governance (ESG) focus areas since the publication of our [2019 Sustainability Report](#) last year, our first as an independent company.

COVID-19 Response

Of course, 2020 was no ordinary year. Our accomplishments were set against the backdrop of the global COVID-19 pandemic. The pandemic compounded the challenges of an already difficult business environment for the lithium industry. Despite that, Livent rose to the occasion. We worked tirelessly to take the necessary precautions to prioritize and protect the health, safety and well-being of our employees around the world and their loved ones and their communities. Our people stepped up and adapted their routines and practices, both personally and professionally. And we never lost focus on operating safely and continuing to meet the needs of our customers. In fact, many of our lithium products went into essential applications that helped fight the virus or mitigate its impact – from life-saving pharmaceutical ingredients and industrial disinfectants, to components used in ventilators, nebulizers, hospital tubing, oxygen supply systems, air purification systems and other vital medical devices.

The pandemic was also an opportunity for us, as a company, to further engage with and support our communities. From donating Personal Protective Equipment (PPE) in the United Kingdom to providing medical personnel, ambulance services and essential air transportation in Argentina, we are grateful to have been in a position to help our neighbors.

Our Sustainability Program

Livent's sustainability program is overseen by the Sustainability Committee of our Board of Directors and is based on adherence to all applicable laws and regulations as well as leading management systems and frameworks, including the UN Sustainable Development Goals (UN SDGs). All of our manufacturing sites have certifications for ISO 14001 (Environmental), ISO 45001 (Occupational Health & Safety) and ISO 9001 (Quality). We have also followed the ISO 26000 guidelines for Social Responsibility.

At Livent, we think about sustainability as responsibly and ethically meeting the societal needs of today without jeopardizing the ability of future generations to meet the needs of tomorrow or the planet's ability to preserve its environments.

Equally as important as what we are doing on the sustainability front is why we are doing it. We believe that the responsibility to operate in a safe, ethical, socially conscious and sustainable manner is a fundamental obligation of our right to operate – and essential for the viability of our business.

Livent is committed to the protection and advancement of human rights around the world —

and making a positive impact in the community, especially our communities in Argentina. This commitment is reflected in the Corporate Social Responsibility (CSR) portion of our Sustainability Program. We support our communities around the world through a range of activities, including philanthropic giving, employee volunteerism, infrastructure development, community outreach and local capacity building. We also believe that we have a fundamental obligation to use our policies, resources, operational practices and sphere of influence to advance social progress and human rights across our operations and supply chain.

Sustainability is therefore reflected in our core values and is a key consideration in our investment and operational decisions. It is integrated across Livent's operations. And it underpins our efforts to responsibly manage our impact on the environment and to have a positive impact on our workforce, the markets we serve, our supply chain and the community.

Key 2020 Achievements

If you've been following our journey as a company, you know that soon after Livent became fully independent in March 2019, we set a new direction for our sustainability program. This new direction better reflected the centrality of sustainability to our mission as a lithium company and our role as an enabler of the transition to green energy and the fight against climate change. Since then, our efforts have received recognition from customers and independent industry evaluators alike, including a 2020 Gold rating for Sustainability from EcoVadis, placing us in the top 3% of companies in our industry group.

There were also many other notable achievements in 2020. Among these are the following:

- Achieved zero recordable injuries worldwide and zero recordable spills / releases worldwide;
- Successfully completed our first Green Bond issuance, following the ICMA Green Bond Principles;
- Enhanced and expanded our already robust Community Relations program in Argentina, including the addition of monthly Community Roundtable Discussions;
- Continued to make significant infrastructure investments in the Catamarcan communities near our operations in Argentina through the Salar del Hombre Muerto Water Trust, which is funded from a percentage of Livent's revenue; and,
- Reinvigorated our global Diversity Equity & Inclusion (DE&I) initiatives, in the wake of the protests in the United States and around the world against systemic racism and social injustice.

New Goals & Priorities

We raised the bar even higher in early 2021 with [the announcement of our new Sustainability Goals](#), set against baseline metrics we established in 2019.

Our new goals were not set in isolation. They reflect the priorities of Livent's customers, communities, investors, employees and other stakeholders based on extensive and ongoing dialogue we have with all of these groups. Our new goals will guide Livent's sustainability journey going forward. Here are our major commitments:

- Overall carbon neutrality by 2040; a path to 100% renewable energy; an ongoing focus on sustainable water use, responsible operations and biodiversity; and, meaningful intensity reductions for Water, Greenhouse Gas Emissions (GHG) and Waste Disposed and Energy.
- Reaffirmation of our pledge to uplift communities and advance social progress and human rights across our operations and supply chain.
- A plan to provide greater ESG transparency and verification, helping set the standard for the lithium industry.

On this last point about transparency, in the second half of 2020, Livent began due diligence on the multi-year certification process for our operations in Argentina under the Initiative for Responsible Mining Assurance, or IRMA. In February 2021, Livent was accepted as a pending member of IRMA and became the first company to begin an IRMA self-assessment for mining operations in Argentina. As part of our membership commitment, by March 2022, Livent will commence an independent third-party verification audit against stringent and comprehensive IRMA standards for responsible mining.

In addition, as was recently [announced by BMW Group](#), Livent will be participating in a geohydrological water study, led by the University of Alaska Anchorage and the University of Massachusetts Amherst, on the impact of lithium mining on local water resources and the surrounding ecosystems. The aim is to improve a scientific understanding of the relationship between fresh water and lithium brine aquifers, to evaluate different technologies and thus provide the foundation for assessing sustainable lithium mining.

The Road Ahead

Achieving many of our goals will not be easy. Collectively, our new goals represent an ambitious and broad agenda. We are challenging ourselves to lead efforts in the lithium industry to improve sustainability performance, transparency and validation. We also

believe that committing to these goals is necessary and that Livent has the track record and credibility to pursue such a bold platform. Our success will hinge on our ability to develop innovative solutions, as we've done throughout our history, and support them with the appropriate deployment of financial investment and resources over time.

While the road ahead will have its share of challenges, we will continue to hold ourselves accountable to the highest standards of corporate citizenship as we look to take our sustainability efforts to the next level. We are excited about the role Livent can play in making the world better and powering progress for a brighter, more sustainable future.

Sincerely,

A handwritten signature in black ink, appearing to read 'P. Graves', with a stylized, cursive script.

Paul Graves

PRESIDENT AND CEO, LIVENT