

COMMUNICATION ON ENGAGEMENT (COE) 2017-2018

# Period covered by this Communication on Engagement From: 01/01/2016 To: 31/12/2018

To Docip stakeholders:

It is part of the life of any human organization to see some of its partners leave and to see someones come in. Docip does not differ from this general rule and the 2018 year has seen a renewal for one part of the team. Thanks to these changes, one year ago I was given the opportunity to join this great human adventure. 2018 was also the 40th anniversary of Docip's foundation. We like to remind people that Docip was created by indigenous peoples representatives, not only because this fact makes us proud, but also because this element gives us important responsibilities.

I am pleased to confirm that Docip reaffirms its support of the United Nations Global Compact. This Communication on Engagement (COE) outlines its respect for and engagement with the 10 UNGC Principles in the areas of Human Rights, Labour, the Environment and Anti-Corruption. Docip welcomes the opportunity to share its experiences, to have feedback on its progress, and to improve its actions in 2019 and beyond.

Through Docip participation in the UN Global Compact in Switzerland and at international level, it continues to support indigenous peoples and encourages a dialogue directly with business leaders. By encouraging this cooperation and collaboration, Docip supports the UNGC in its goal to ensure that business is a force for good, as Docip works towards a better world.

On October 2017, the UNESCO recognised Docip's collection of Statements made by Indigenous Peoples at the UN from 1982 to 2015 as world documentary heritage, including it in the "Memory of the World Register".

Please find in this report, the description of Docip actions taken to support the Global Compact between 2017 and 2018. You will find its values system and principle approach to run the organization. Docip also commits to sharing this information with its members and networks.

Kind regards,



Rémi Orsier

Director

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Andrés Del Castillo Senior Legal Advisor





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### **HUMAN RIGHTS**

# Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights

### **External level:**

Docip is a Swiss not-for-profit foundation whose primary objective is to support Indigenous Peoples in defence of their rights, mainly within the framework of the UN and European Union institutions.

• Docip provides them free services that include technical secretariats and mobile technical secretariats, translation and interpretation, strategic support and assistance, training and capacities transfer, and information management during the main conferences on Indigenous issues.

• Docip also support Indigenous delegates during the 2017 and 2018 United Nations Forum on Business and Human Rights, and assisted the Secretariat of the Working Group on the issue of human rights and transnational corporations and other business enterprises since 2013 in the organization of the UN Forum on Business and Human Rights, including arranging interpretation for the side events and supporting the Technical Secretariat for Indigenous delegates.

• Between 2017 and 2018 Docip did participate at:

- 2017 OHCHR Consultation on The Relevance of Human Rights Due Diligence to Determinations of Corporate Liability, held in Geneva, Switzerland

- 2017 Intergovernmental Forum on Mining, Minerals, Metals and Sustainable Development, held in Geneva, Switzerland

- 2017 Open-ended intergovernmental working group on transnational corporations and other business enterprises with respect to human rights

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- 2018 Open multi-stakeholders consultation, during the session of the Working Group on the issue of human rights and transnational corporations.

Between 2017 and 2018 Docip has continue to be an active member of the UN Global Compact Network Switzerland. Docip has been participating in many of the global and local events such as:



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- Sharing & Learning on Dilemma Situations: "Lessons from the field - navigating complex impacts, perspectives and dilemmas - The Trafigura Example.

- Global Compact Network Switzerland General Assembly and 2nd Swiss Global Compact Dialogue, Geneva, Switzerland, May 2018.

In addition, Docip held a meeting with the Council of Ethic for the Government Pension Fund Global (GPFG)in November 2017, in order to promote the use of Docip's collection of statements made by indigenous peoples, where is possible to find information about specific situations of indigenous peoples and human rights abuses.

### Internal level

Docip employee insurances are chosen with the guidance of the ethical insurance broker ASSURETIC. For example, Docip employees are insured by NEST, the first ecological and ethical pension fund in Switzerland, under the 2nd pillar, whose contributions cover the risk of death and invalidity, as well as accruing towards retirement benefits.

### Principle 2: Make sure that they are not complicit in human rights abuses

With regard to the in-house team, Docip advocates a model that is centred on the cooperation and confidence among its employees. With respect to governance, the two main governing bodies are the Docip Committee and the Foundation Board. No action is taken without the consultation and agreement of the decision-making bodies.

Since its inception, Docip has conducted its activities following the principles of impartiality, neutrality, collegiality and non-interference. Docip respects the principle of self-determination of Indigenous Peoples, Docip conducts its actions and activities upon the request of indigenous representatives. They are also involved when a project is being developed.

#### LABOUR

Principle 3: Businesses should uphold the freedom of association and the effective recognition of the right to collective bargaining

Docip employes are free to join any association or trade Union. So far, the organisation has not received any notification concerning an employee who is part of a Trade Union.

Employees are free to meet at Docip offices.

### Principle 4: the elimination of all forms of forced and compulsory labour



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By its methods of work, Docip employees have the choice to work according to a fixed or flexible schedule. The only limit is to realize the due hours per month. The team has also the liberty to perform their own annual activity budget.

### Principle 5: the effective abolition of child labour

Docip does not employ anyone under the age of 18.

# Principle 6: the elimination of discrimination in respect of employment and occupation

Within the team of Docip, as well as in its governance, the organisation has a gender parity. Furthermore, Docip publications are assembled for people with disabilities. This is made possible through a partnership with the Fondation Foyer Handicap.

### ENVIRONMENT

# Principle 7: Businesses should support a precautionary approach to environmental challenges

For printing, Docip uses biodegradable paper. Docip doesn't use coffee capsules. Furthermore, all its publications are available on-line.

Docip headquarters "La Pastorale" has the Environmental Certificate from PET-Recycling, because of the efforts in collecting plastic material for recycling.

Docip team members use second hand computers donated by businesses, which no longer need them.

#### Principle 8: undertake initiatives to promote greater environmental responsibility

In 2017 and 2018, the organization supported Indigenous peoples in their international participation during the last United Nations Climate Change Conference, that took place at the World Conference Center Bonn (WCCB), Bonn, Germany, from 30 April to 10 May 2018 (COP23). Also in 2017 Docip has supported them with a mobile secretariat, which offers free back office, translation and interpretation services for them for the COP23 in Bonn, Germany from 6 to 17 November 2017. The organisation has also supported them in their networking with different key actors (for example: NGOs, member states, institutions, etc).

Docip is an active Member of the Geneva Climate Change Concertation Group (GeCCco), which meets every month.



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### Principle 9: encourage the development and diffusion of environmentally friendly technologies

Docip supports Indigenous delegates in their international participation with UN and EU institutions. With its mandate, Docip has to travel to different places in the world. The organisation makes efforts to limit the number of people who are forced to travel. Docip also has a team based on two continents and users of its services worldwide. Thus, it uses various methods of distance communication, which decrease travel costs (for example: Skype calls and slack channel for internal communication, etc.).

### ANTI-CORRUPTION

# Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery

Docip has an accountant who works within the organization. He monitors the respect and implementation of the Swiss fiscal standards in a regular way.

Docip external auditor is SFEF (Société Fiduciaire d'Etudes Fiscales) which produces an annual report sent to the donors and the authorities.

Docip has developed several internal policies to limit the risk of fraud: procurement procedures, payment procedures (always at least 2 persons to validate any payment) and travel expenses.

In order to limit the risk of fraud and corruption from supported organizations, Docip is always working with several persons within the organization to avoid individuals to be the only to know about the financial arrangements.

Memorandum of understanding are signed and contain a part on fraud and corruption.

Docip disburses the grants by tranches and asks for concrete proofs of achievement before sending the following tranche.

In most of its training for field base organizations, Docip also advocates for transparency and the necessary implementation of anti-corruption and anti-fraud policies.