

**UNITED NATIONS GLOBAL COMPACT**  
**COMMUNICATION ON PROGRESS REPORT**  
**OCTOBER 2019**



### **ABOUT THE REPORTING ORGANIZATION: IPE GLOBAL LIMITED**

IPE Global Limited ('the Company' or 'IPE Global') is an international development consultancy group providing Expert Technical Assistance in developing countries. The Company is group partners with Multilateral and Bilateral Agencies, Governments, Corporates and Not-For-Profit Entities in anchoring development agenda for Equitable Development and Sustainable Growth. IPE Global helps international development sector clients address the challenges of complex socio-economic issues and thereafter align its solutions with Sustainable Development Goals (SDGs to optimise impacts, transforming the world for the better).

IPE Global works with the objective of "Expanding Horizons. Enriching Lives" which was envisaged in the triumphant decade of the 1990s; an age characterised by rapid development, marked by Liberalisation and Globalisation. This coupled with an enactment of 73<sup>rd</sup> and 74<sup>th</sup> Constitutional Amendments in the year 1993 – an event of almost epochal importance in the history of local government institutions in India, whereby Government of India had decided to devolve powers down the line to Panchayati Raj Institutions (PRIs) and Urban Local Bodies (ULBs).

IPE Global Limited has a team of multicultural people around the world consisting of economists, chartered accountants, sociologists, public sector experts, educationists, planners, architects, environmentalists, scientists, project managers and program managers. IPE Global has its headquarters in India with seven international offices in Bangladesh, Ethiopia, Kenya, Myanmar, Nepal, Philippines and United Kingdom, the group offers a range of integrated, innovative and high quality consulting services across several sectors and practices.

## MISSION AND VISION

### **Our Mission**

To partner with International Agencies and Governments and to provide innovative solutions and support to address the global challenges of Development Sector

### **Our Vision**

Becoming a cross-sector “ideas powerhouse”, bringing together cutting-edge knowledge and management skills to enable policy reforms for a more inclusive, equitable and sustainable world.

## STATEMENT OF SUPPORT AND COMMITMENT

IPE Global appreciates and agrees the value of dignity of labour, respect for every employee, being fair and developing each and every employee to the best of their abilities, being the basis for human rights. Environment concerns are a top priority in our operational agenda. Further, IPE Global condemns corruption in any form. It has policies which guide people at all levels to exhibit a good moral and ethical behaviour.

I am delighted to reaffirm IPE Global's continued support of the Ten Principles of the UN Global Compact ("UNGC") in the human rights, labour, environment and anti-corruption. Attached is our 2<sup>nd</sup> Communication on Progress (COP) and it provides confirmation of our on-going commitment to integrate and sustain the COP principles.



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Name: M K Padmakumar

Designation: Chief Operating Officer

Date: October 21, 2019

## UNITED NATIONS GLOBAL COMPACT – THE TEN PRINCIPLES

The United Nations Global Compact asks its signatories to embrace, support and enact, within their sphere of influence, a set of core values in the areas of human rights, labour standards, the environment and anti-corruption.

PRINCIPLE	STATEMENT
Principle 1	Businesses should support and respect the protection of internationally proclaimed human rights
Principle 2	Businesses should make sure that they are not complicit in human rights abuses
Principle 3	Businesses should uphold the freedom of association and the effective recognition of the right to collective bargaining
Principle 4	Businesses should uphold the elimination of all forms of forced and compulsory labour
Principle 5	Businesses should uphold the effective abolition of child labour
Principle 6	Businesses should uphold the elimination of discrimination in respect of employment and occupation
Principle 7	Businesses should support a precautionary approach to environmental challenges
Principle 8	Businesses should undertake initiatives to promote greater environmental responsibility
Principle 9	Businesses should encourage the development and diffusion of environmentally friendly technologies
Principle 10	Businesses should work against corruption in all its forms, including extortion and bribery

## IMPLEMENTING THE PRINCIPLES OF THE UN GLOBAL COMPACT

The following table provides an overview of how IPE Global has implemented the Ten Principles across our business and service lines. More information is available at <http://www.ipeglobal.com/corporate-policies.php>

UNGC PRINCIPLE NO.	UNGC PRINCIPLE	POLICIES /PROCEDURES	NARRATION
<b>HUMAN RIGHTS</b>			
<b>PRINCIPLE 1</b>	<b>Businesses should support and respect the protection of internationally proclaimed human rights</b>	<b>Modern Slavery and Human Trafficking Statement</b>  <b>Code of Conduct Policy</b>  <b>Equity And Diversity Policy</b>  <b>Zero Tolerance Session</b>	<p>IPE Global Limited work to guard against complicity in Human right Abuses. It respects and follows universal declarations on human rights and fundamental freedom.</p> <p>IPE Global Limited has formulated various policies to ensure protection of Human Rights for all, These policies captures the essence of our approach to human rights and to building a culture of respect and inclusion – by clearly setting out the way we expect our people to do business. These policies cover issues relating to modern slavery, human trafficking, child protection, anti-corruption, protection against harassments and bullying.</p> <p>In addition to this IPE Global has made a Code of Conduct available on its website which sets out our commitment toward respecting human rights by providing more details on the requirements to which Partner firms/Staff members must adhere.</p> <p>The Company also complies with all human rights related regulatory requirements of the land of incorporation of its businesses across in respect of applicable labour and employment laws,</p> <p>All policies are available in the public domain through our website (<a href="http://www.ipeglobal.com/corporate-policies.php">http://www.ipeglobal.com/corporate-policies.php</a>).</p>
<b>PRINCIPLE 2</b>	<b>Businesses should make sure that they are not complicit in human rights abuses</b>		<p>As an organization, IPE Global has a zero-tolerance approach towards slavery, servitude, forced and compulsory labour and human trafficking, all of which have in common the deprivation of a person's liberty by another in order to exploit them for personal or commercial gain. IPE Global is</p>

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			<p>committed to acting ethically and with integrity in all its business dealings and relationships, and to implementing and enforcing effective systems and controls to ensure there is no human rights abuse taking place anywhere in its own business or in any of our supply chains.</p> <p>In order to monitor and manage the risk of abuse of human rights, we have policies and statements around prevention of sexual exploitation and abuse, modern slavery and human trafficking, child protection, etc. which are independently monitored by two separate department:</p> <p>(a) Human Resources Department and (b) Corporate Risk and Compliance Department.</p> <p>Both these departments are staffed by Senior level Staff (Vice President level) with necessary qualifications (Management Graduates from reputed institutions) and training to appropriately address and manage the issues as and when they are reported.</p> <p>We have not identified any such instances causing or contributing to any adverse human rights incidents,</p> <p>Activities:</p> <ol style="list-style-type: none"> <li>1. Training on Code of Conduct for all staffs as part of Staff On-Boarding Program.</li> <li>2. Dissemination of posters through information boards within our offices/branch offices.</li> </ol>
<b>LABOUR</b>			
<b>PRINCIPLE 3</b>	<b>Businesses should uphold the freedom of association and the effective recognition of the</b>	<b>Human Resource (HR) Manual</b>	IPE Global encourages the right to freedom of expression and opinion and provides staff a climate free of violence, pressure, fear and threats to be able to make a free decision. IPE GLOBAL does not support labour, compulsory or child labour and discrimination.

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	right to collective bargaining	<b>Modern Slavery and Human Trafficking Statement</b>  <b>Code of Conduct Policy</b>	<p>IPE Global has a grievance policy in place to redress the grievances of the staff arising from any decision, act or omission by any person or persons within the organization which is considered wrong, mistaken, unjust or discriminatory and is causing concern or distress.</p>
PRINCIPLE 4	Businesses should uphold the elimination of all forms of forced and compulsory labour	<b>Environment, Social, Health and Safety (ESHS) Commitment</b>  <b>Social Accountability Policy Statement</b>  <b>Child Protection (CP) Policy</b>	<p>IPE Global respects the dignity of labour and denounces from all forms of forced and compulsory labour for which it has formalized statement namely Modern Slavery and Human Trafficking Statement. The said statement prohibits bonded, forced labours and human trafficking.</p> <p>During induction, staff are informed about all the policies/statements so as to assist them in appreciating the extent of the problem of modern slavery and to identify individuals/areas of the business that may be at risk from practices of modern slavery.</p> <p>In addition to this, IPE Global limited ensures that its suppliers and service providers adhere to the same and adopt a ‘no forced and compulsory labour’ and adopts transparency in its operations.</p> <p>Activities:</p> <ol style="list-style-type: none"> <li>1. Training of the Staff</li> <li>2. Dissemination of such posters through information boards within our offices/ branch offices.</li> <li>3. Revision and Communication of HR Policies and procedures that incorporate up-to-date local regulations and operating standards.</li> <li>4. Implementation of EHS policy.</li> </ol>
PRINCIPLE 5	Businesses should uphold the effective abolition of child labour	<b>Child Protection (CP) Policy</b>	<p>IPE Global Limited has a zero-tolerance approach towards child exploitation or abuse. IPE Global respects the rights of children and is committed to upholding and safeguarding their rights to protection against violence, exploitation and abuse.</p> <p>We only employ workers who meet the applicable minimum legal age requirements</p>



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			<p>as prescribed under the applicable law and comply to other child labour laws.</p> <p>IPE Global has a Child Protection Policy, whose purpose is to provide guidance on child protection issues and making the staff members aware of their responsibilities/ duties this Policy places on them.</p> <p>IPE Global takes responsibility to respects the human rights of all employees, and is explicitly against child labor, and unethical and unsocial business practices. IPE Global do not accept the employment or employ any children in the “worst forms of child labour” i.e. all forms of slavery, trafficking of children, forced or compulsory labour, recruitment of children for use in armed conflict, sexual exploitation of children, children used by adults in the commission of crime (including the trafficking or production of drugs) and any work which by its nature is likely to harm the health, safety or morals of children.</p>
PRINCIPLE 6	Businesses should uphold the elimination of discrimination in respect of employment and occupation	Code of Conduct Policy  Equity And Diversity Policy	<p>IPE Global being an equal employment opportunity employer does not discriminate by ethnic origin, gender, religion, social background, disability, political views, or sexual orientation. It respects the local applicable statutory requirements regarding labour laws in all countries in which IPE Global conducts business.</p> <p>IPE Global ensures that the workplace is free from all forms of discrimination for which it has a HR policy which outlines that discrimination of any kind is not tolerated and encourages all managers and supervisors to involve employees in problem solving and the creativity process.</p>
<b>ENVIRONMENT</b>			
PRINCIPLE 7	Businesses should support a precautionary approach to environmental challenges	Environment Policy	IPE Global is committed to operate in an eco-friendly manner with abiding concern for the environment and society as a whole. Being in the development sector, we consider climate change to be one of the greatest threats affecting economic stability of countries,

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			<p>vulnerability of communities, and the society at large.</p> <p>IPE Global has an Environment Policy in place, wherein we intend to encourage use of green technologies and environment friendly systems while pursuing business aspirations and enhancement of shareholder value.</p> <p>IPE Global’s environment policy outlines to improve its environmental performance, setting objectives and targets. This includes:</p> <ul style="list-style-type: none"> <li>• Assessing and reducing the company’s impact on the environment.</li> <li>• Encouraging and training/ educating staff on Eco-Friendly process and procedures.</li> <li>• Comparing current practice with best practice.</li> <li>• For help with establishing environmental objectives.</li> </ul>
<p><b>PRINCIPLE 8</b></p>	<p><b>Businesses should undertake initiatives to promote greater environmental responsibility</b></p>	<p><b>Environment Policy</b></p> <p><b>Environment, Social, Health and Safety (ESHS) Commitment</b></p>	<p>IPE Global aims to fulfil its environmental commitments through the following broad-level actions:</p> <ul style="list-style-type: none"> <li>• Integrate energy and environmental considerations into the design of new infrastructural facilities.</li> <li>• Improve resource efficiency in operations, especially for key resources such as energy and water.</li> <li>• Adopt the ‘3-R’ (reduce, reuse and recycle) philosophy for all types of wastes towards prevention of pollution and dispose of ‘inevitable’ wastes, especially electronic waste, in line with regulatory requirements or industry best practice.</li> <li>• Promote ‘green procurement’ to the maximum extent possible.</li> <li>• Set, monitor, and review objectives and targets on an on-going basis towards achieving continuous improvement in environmental performance and the overall environmental management system.</li> <li>• Remain committed to complying with all the applicable environmental and related</li> </ul>

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			<p>legal and other requirements and, wherever feasible, better the prescribed standards in all the countries that we operate out of.</p> <ul style="list-style-type: none"> <li>• Communicate the environmental policy to all employees, business associates, and other stakeholders.</li> <li>• Review the environmental policy and allied management systems periodically to ensure their continuing applicability and relevance to our operations and evolving stakeholder expectations.</li> </ul> <p>We want all of our people to consider environmental opportunities in their work every day, and we support them with our sustainability actions.</p>
PRINCIPLE 9	Businesses should encourage the development and diffusion of environmentally friendly technologies	<p><b>Environment Policy</b></p> <p><b>Environment, Social, Health and Safety (ESHS) Commitment</b></p>	<p>IPE Global is committed to continuously benchmarking and enhancing its own environmental performance through the reduction of our carbon and ecological footprints with the involvement of our business associates and partners. Through our services and solutions, we endeavor to help to improve environmental performance towards sustainability objectives.</p> <p>Few of the initiatives taken by the organization detailed as under:</p> <ul style="list-style-type: none"> <li>• Conservation of resources, including fuel for vehicles and food in the canteen. It discourages the practice of wasting food and drinking water.</li> <li>• IPE Global supports reduction of electronic waste (e-waste) and focusses on recycling as it is an important part of managing enterprise information technology, regulatory compliance and reputational risk and environmental impact. E-waste covers electrical and electronic equipment, whole or in part discarded as waste as well as rejects from manufacturing, refurbishment and repair processes.</li> <li>• IPE Global has got an efficient collection and recycling of e-wastes mechanism with</li> </ul>

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			<p>various recycling entities/used product dealers/e-commerce portal vendors who collects a significant proportion of the discarded electronics for reuse which is further processes in an environment friendly manner in accordance with the guidelines set by the Governmental Authorities. Copies of certificates are appended to this note.</p> <ul style="list-style-type: none"> <li>• Extensive tree plantation in and around office.</li> <li>• Promoting use of LED lamps.</li> <li>• Promoting energy efficient machines and processes.</li> <li>• Communicating the importance of environmental issues to our people and motivating them to embed environmental responsibility in our work culture.</li> <li>• Continuously improving our environmental performance in the areas of energy, waste, transportation and employee engagement.</li> <li>• Less use of paper for printing.</li> <li>• Change to glass drinking bottles from plastic bottles.</li> </ul>

**ANTI-CORRUPTION AND PREVENTION OF BRIBERY**

<p><b>PRINCIPLE 10</b></p>	<p><b>Businesses should work against corruption in all its forms, including extortion and bribery</b></p>	<p><b>The Whistleblower Policy</b></p> <p><b>Anti-Fraud and Anti-Corruption Policy</b></p> <p><b>Due-Diligence Assessment</b></p>	<p>IPE Global’s long standing commitment in doing business with integrity means avoiding fraudulent and corrupt means, in any form including bribery and complying with fraud and corruption prevention legislations of every country in which we operate. IPE Global prohibits any inducement that results in a personal gain or advantage to the recipient or any person or body associated with them and which is intended to influence them to take action which may not be solely in the interests of IPE Global or of the person or body employing them or whom they represent. This includes facilitation payments.</p> <p>In order to combat fraud and corruption, most of the countries in which IPE Global conducts business, have enacted Fraud and Corruption Prevention laws and regulations. Pursuant to such laws and regulations, it is a crime to give, pay or promise “Anything of Value” in order to</p>
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			<p>influence an act or a decision to obtain, retain and/or secure an improper advantage of any kind.</p> <p>IPE Global has the Anti-Fraud and Anti-Corruption Policy in place which sets forth IPE Global’s universal standards regarding the prevention of fraud and corruption. It outlines acceptable and non-acceptable behaviour to ensure compliance with the anti-corruption laws; domestic and foreign. If local laws or regulations establish stricter requirements, IPE Global will comply with such stricter requirements.</p> <p>IPE Global strongly believes in accountability and transparency as a mechanism to enable all staff members to voice concerns internally in a responsible and effective manner when they discover information which they believe shows serious malpractice.</p> <p>IPE Global has the Whistleblowing Policy in place to promote highest ethical standards at all levels. IPE Global maintains a workplace that facilitates the reporting of potential violations of Corporate Policies and applicable laws including any incidence of fraud or corruption, in whatever form. It is IPE Global’s policy that staff members with issues or concerns regarding ethical or compliance matters have appropriate channels through which to report their issues or concerns.</p> <p>Activities:</p> <ol style="list-style-type: none"> <li>1. Training of the Staff</li> <li>2. IPE Global organizes E-Learning Anti Bribery and Anti-Corruption Training Program for its staff members.</li> <li>3. Due Diligence on Partners/Individuals to check whether they are involved in any such activities relating to Money Laundering/ Corruption Activities.</li> </ol>

