

UN Global Compact – Communication on Progress (COP) 2017

As a member of the UN Global Compact (GC) Roslagens Sparbank commits to annually disclose information on activities and results related to the ten principles for human rights, labour, the environment and anti-corruption. Roslagens Sparbank uses the yearly Sustainability Report for this disclosure. The report for year 2017 (*“Hållbarhetsredovisning”* in Swedish) was published on www.roslagenssparbank.se in April 2018, as an integrated part of the Bank’s Annual Report. As the report only is published in Swedish, this summary in English has been made in effort to live up to the Global Compact standards.

With this summary, we express our continued support and commitment to the Global Compact and its principles.

Norrtälje, August 6th, 2018

Sten Törnsten, deputy CEO, Roslagens Sparbank

Roslagens Sparbank, communication on progress 2017

As a local savings bank, Roslagens Sparbank’s vision is “an attractive and open Roslagen in growth” – that is, our long term goal is intimately connected to sustainable long-term growth and development of our region. One important measure to ensure this, is the yearly contribution of 5-10 % of the bank’s net result to support of local projects, activities and initiatives in line with our vision. Since year 2000, over SEK 119 Million this way have been returned to our customers and our society, mainly via the bank’s foundations for support and development of education, research, culture and local business.

Human Rights Principles

Businesses should support and respect the protection of internationally proclaimed human rights

Roslagens Sparbank supports the international declaration of Human Rights, and works for them according to Swedish legislation, which is also reflected

in our bank's policy documents. We publish our support for the HRP on our website.

Businesses should make sure that they are not complicit in human rights abuses internationally proclaimed human rights.

Roslagens Sparbanks internal follow-up and both internal and external revision focuses on securing compliance to national laws, rules and regulations which in turn is meant to prevent any violations of Human Rights.

Businesses should uphold the freedom of association and the effective recognition of the right to collective bargaining.

Roslagens Sparbank follows Swedish labor laws, including full freedom of assembly and union. The unions *SACO* and *Finansförbundet* both have had a number of meetings during 2017, collective agreements and are since many years represented in the bank's Board of Directors.

Businesses should uphold the elimination of all forms of forced and compulsory labor.

Roslagens Sparbank follows Swedish labor law and the Swedish Finance market's collective agreements which ensure the elimination of forced or compulsory labor.

Businesses should uphold the effective abolition of child labor.

Roslagens Sparbank follows and applies Swedish labor law, including age regulations for employment.

Businesses should uphold the elimination of discrimination in respect of employment and occupation.

Roslagens Sparbank follows and applies Swedish anti-discrimination laws which are also part of the bank's internal policies. No discrimination incidents have been reported in 2017.

Environment Principles

Businesses should support a precautionary approach to environmental challenges.

Roslagens Sparbanks policies and instructions focus on a preventative approach both internally and towards clients and stakeholders. For larger credit applications (> 5 MSEK), an environmental risk-analysis is obligatory as one way of encouraging businesses to undertake initiatives to promote greater environmental responsibility.

Recurring activities are the yearly “Sustainability week” which includes a number of customer and/or communication activities ecological sustainability during June (starting with the World Environment Day, June 5th); co-operation with local food producers promoting an annual “Harvest Market” in Norrtälje town; active participation in Earth Hour and the bank’s own local annual environment award to a company or organization (in 2017 the recipients were a local company dedicated to recycling of furniture etc).

Business should encourage the development and diffusion of environmentally friendly technologies.

We do, but have no actual new case in 2017.

Anti-corruption Principle

Businesses should work against corruption in all its forms including extortion and bribery.

Roslagens Sparbank follows Swedish legislation on corruption. Our internal rules and regulations include clear instructions and all co-workers are trained on topics such as money laundering. No incidents of corruption have been reported in 2017.

Measurement of achievements

Goal 1: Reduction of CO-emissions. The bank’s emissions due to consumption of electrical power increased by 2 tonnes between 2016 and 2017 which mainly is explained by a new formula, as the actual use of electricity was reduced from 801 to 732 MWh.

Goal 2: Reduce usage of cash. This goal is achieved also in 2017. The amount of cash withdrawals has decreased; cards and internet services share of transactions has increased; and numbers of customers with Swish and/or PersonalTelephoneBanking services has also increased.

Goal 3: Reduction of electrical power usage by 70MWH. See goal 1 Above.