



## COMMUNICATION ON ENGAGEMENT (COE)

**Period covered by this Communication on Engagement** [Ideally the two years prior to this report]  
From: [2016] To: [2017]

### Part I. Statement of Continued Support by the Chief Executive or Equivalent

Please use the box below to include the statement of continued support signed by your organization's Chief Executive or equivalent

***Statement of Continued Support***

10 September 2018

To our stakeholders:

*I am pleased to confirm that The Ethics Institute reiterates its support for the United Nations Global Compact and its Ten Principles in the areas of Human Rights, Labour, Environment and Anti-Corruption. This is our Communication on Engagement with the United Nations Global Compact. We welcome feedback on its contents.*

*In this Communication of Engagement, we describe the actions that our organization has taken to support the UN Global Compact and its Principles, as suggested for an organization like ours.*

*We also commit to sharing this information with our stakeholders using our primary channels of communication.*

Sincerely,

Prof GJ Rossouw  
CEO – The Ethics Institute

## Part II. Description of Actions

Please use the box below to describe the actions your organization has taken in support of the Global Compact. It is strongly recommended that the actions taken are related to one or more of the specific activities suggested. *Please refer to the complete list of suggested activities for your type of organization found here.*

### Academic activities

Staff members of The Ethics Institute (TEI) were involved in the following academic activities in 2016 and 2017, incorporating United Nations Global Compact (UNGC) principals through several activities:

- Served as Professor Extraordinaire in Philosophy at the University of Stellenbosch
- Served as Adjunct Professor in Organisational Psychology at the University of Cape Town
- Served on the editorial board of the *African Journal of Business Ethics*
- Served on the editorial board of the *Journal of Business Ethics*
- Served on the editorial board of the *Turkish Journal of Business Ethics*
- Completed manuscript of 6<sup>th</sup> edition of the book *Business Ethics* (by Deon Rossouw and Leon van Vuuren)
- Presented lectures for the UJ-IoDSA Corporate Governance Certificate Programme at University of Johannesburg, offered in partnership with the Institute of Directors in Southern Africa
- Published the *Ethics and Compliance Handbook*, including tools to guide ethical business conduct, in line with UNGC principle ten to provide guidance against corruption in all its forms.
- Published the second edition of the *Social and Ethics Committee Handbook*, including tools for organisations to improve governance, in line with the ten principles of the UNGC.
- Staff members of TEI made presentations at the following institutions/events:
  - National Business Initiative's Roundtable for social and ethics committees
  - South Africa - Mozambique Cross Border Anti-corruption Forum

### Business associations

- TEI provided support to the establishment of an Ethics Practitioners' Association in South Africa.
- TEI is currently engaged with various business associations, representing several professional associations in Mozambique focused on enhancing sustainable business practices including, but not limited to, the Institute of Directors of Mozambique, Associação de Comércio e Indústria, Confederation of Trade Associations, Maputo Corridor Logistics Initiative and Ordem dos Contabilistas e Auditores de Moçambique.
- TEI conducted research, on citizens' perceptions of bribery, namely the *Citizens' Bribery Survey*, which helps build national awareness on corruption challenges.
- TEI delivers the UNGC principles through partnerships with the Business Ethics Network of Africa (BEN-Africa) and Globeethics.net
- TEI provided support to the business sector in the form of services such as training, advisory and assessments related to good governance and organisational ethics. Client organizations include, among others, Airports Company South Africa, Discovery Group, MTN Group, Distell, Edcon, EOH, First Rand, Industrial Development Corporation, Kagiso Tiso Holdings, Massmart Walmart, Rand Mutual Assurance, Remgro, Santam, Westcoast College and Woolworths.
- TEI delivers the UNGC principles through partnerships with various organizations involved in anti-corruption capacity building including, but not limited to, the Institute of Directors in Southern Africa, the South African Institute of Chartered Accountants, the Institute of Internal Auditors of South Africa, the South African Institute for Professional Accountants and the Anti-Intimidation and Ethical Practices Forum.
- TEI delivers on the UNGC principles through partnerships and anti-corruption capacity building with business associations such as the Coalition for Ethical Operations.

### Cities

- TEI delivers the UNGC principles within the Gauteng Province, South Africa, by building capacity for ethics management and better business practices in several municipalities, including City of Tshwane, City of Johannesburg, Ekurhuleni Metropolitan, Rand West, Midvaal, Merafong, Lesedi and Mogale City.

### Civil society

- TEI participated in four UNGC network sessions hosted in Johannesburg.
- TEI delivers on the UNGC principles through partnerships and anti-corruption capacity building with civil society organisations such as the Business Ethics Network of Africa, and Corruption Watch.

## Public sector

- TEI was awarded the Gold Award in the Good Governance Category of the Gauteng Premier's Service Excellence Awards for work done in collaboration with the Gauteng Provincial Government building ethics management and anti-corruption capacity in municipalities.
- TEI works closely with various departments in the public sector in South Africa, building anti-corruption capacity in line with principle 10 of the UNGC principles.

## Part III. Measurement of Outcomes

Please use the box below to include the most relevant qualitative and/or quantitative indicators to measure the outcome of the activities described in Part II above. [Write here]

*Examples of measurement of outcomes include:*

- ☐ Number of new UN Global Compact business participants resulting from your organization's promotional efforts
- X Expertise provided by your organization to further the aims of Global Compact Local Network in your country
- ☐ Concrete actions taken by UN Global Compact business participants with whom your organization engaged regarding their COP
- X Partnerships formalized with mutual aims to advance the UN Global Compact principles

## Outcomes 2016-2017

- Participation in activities of the local UNGC network, providing advice and sharing experiences, providing leadership in the field of business ethics, corporate citizenship and corruption prevention..
- Working diligently to instil better business practices in both the public and private sectors in South Africa, through capacity building focused on ethics, corporate responsibility and sustainability.
- Actively contributing to the regulatory environment in South Africa by sitting on the committee responsible for the development of the King Report on Corporate Governance for South Africa (King IV), and participating in the amendment of the Social and Ethics Committee section of the Companies Act.
- Driving a collective action approach to fighting corruption in Mozambique in both the private and public sectors by offering guidance on corruption prevention and business ethics.
- Co-founder of a business coalition to enhance business practices for medium and large organisations in South Africa, based on the ten UNGC principles.
- Successfully implemented anti-corruption initiatives in Angola, creating increased awareness through training, engagement with public and private sector leaders, and through communication campaigns.
- Offered several *pro bono* services to organisations on ethics awareness, corporate responsibility and anti-corruption management, including
  - o King IV Committee on Corporate Governance for South Africa
  - o Institute of Directors in South Africa
  - o Society of Industrial and Organisational Psychology of South Africa
  - o Association of Certified Fraud Examiners
  - o Ethics Practitioners' Association, and
  - o Coalition for Ethical Operations.