

Period Covered in this COE From: January 27, 2016 To: January 27, 2018

January 21, 2018

To our stakeholders:

I am pleased to confirm that SeaTrust Institute reaffirms its support to the United Nations Global Compact and its Ten Principles in the areas of Human Rights, Labor, Environment and Anti-Corruption. This is our Communication on Engagement with the United Nations Global Compact. We welcome feedback on its contents.

In this Communication of Engagement, we describe the actions that our organization has taken to support the UN Global Compact and its Principles as suggested for an organization like ours that includes both civil society and academic activities in support of our commitment. As a research and educational NGO that engages in the global sustainability policy development process, provides research for countries and organizations engaged in that process, develops and delivers educational programs on climate and environmental change, human health and policy to youth, adults, and professional communities, our work spans across the environmental, social and governance spheres of social responsibility embraced by the UN Global Compact. We also commit to sharing this information with our stakeholders using our primary channels of communication.

Sincerely yours,

Dr. Lynn A. Wilson

Executive Director/CEO

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SeaTrust Institute



COMMUNICATION ON ENGAGEMENT (COE)

SeaTrust Institute is a research and educational NGO that works in myriad ways to further the Sustainable Development Goals (SDGs). We link efforts on behalf of our obligation as supporters of the United Nations Global Compact to our projects, education, presentations, partnerships, and communication about the SDGs most directly by working across Principle 7and Principle 8, but also purposefully addressing Principle 1.

Our diplomacy framework at the United Nations Framework Convention on Climate Change as an admitted NGO and registered observer has given us a platform to work across boundaries to engage civil society with business, academic leaders and governments in supporting the SDGs, and with assisting countries with achieving meaningful progress towards their Nationally Determined Contributions (NDCs) for achieving the Paris Agreement. As a conduit between public and private organizations, SeaTrust Institute is able to champion human rights activities such as gender empowerment in conjunction with global environmental and sustainability progress.

Part II. Description of Actions

SeaTrust Institute's activities fall under Civil Society and Public Sector actions as defined by the UN Global Compact. Participation in global and local events, facilitating dialogue between public and private interests and constituencies, facilitating and engaging in sustainability partnerships are examples of these types of activities. Policy analysis, multi-stakeholder dialogues, education and outreach are part of what we do through our research, publications, field activities, and engagement with the United Nations processes. We participated in RIO+20 where the SDGs were conceived, and have consistently continued to integrate them into all of our programs and processes since including our partnerships with countries, other NGOs from many countries, and communities.

In addition, although we are not formally in the Academic Sector, many of our activities also fall under suggested activities for academia because we are a research and educational NGO, with academics across disciplines throughout our staff and volunteer network. Working with North America PRME in partnership for business school university educators, our role to elucidate the relationships between the public and private perspectives on the SDGs serves as an example of how all three area actions are interlaced as part of our regular activities.

As part of the UNFCCC Research Constituency, we work closely with global academic institutions in research and in service of the diplomatic activities of the UNFCCC. The CEO of SeaTrust Institute serves on the steering and launch committees of a new



initiative conceived in 2017, the Universities Network for Climate Capacity (UNCC), to team researchers, share curricula, and assist countries with research needed to support their efforts to achieve the Paris Agreement. Interfacing with businesses and private sector organizations in our policy, research, and dissemination efforts is part of our work and commitment, and is a necessary part of bringing the research from the global policy level to the communities and businesses that are the implementing agents of sustainability change.

Our field work activities engage communities, whether in partnership with a local organization to deliver our environmental and climate change education onsite or through our virtual platform, or training communities in resilience and adaptation development activities. Our educational programs catalyze the learners, regardless of age (our programs are from youth through professionals in government and business) to work together for the common goals. AWARE^(SM) | Business and Industry is a special version of this environmental training program for businesses to assist business involvement in climate change adaptation, resilience development and sustainability action.

Training local leaders includes having them work with business as part of developing economic resilience as well as with governmental leaders and local organizations. An example is our partnerships that have resulted in this type of education during the reporting period is one that began in 2016 with Kubujoi Institute, an educational entity in Kenya that trains young adult leaders to return to their communities to improve their overall social conditions. By adopting our educational program AWARE^(SM) (Action Within a Resilient Environment) into their curriculum, we are part of their training of Kenyan young adult leaders to create and maintain resilient communities.

SeaTrust Institute activities have, and continue to further the aims of Global Compact Local Network locally and globally. In particular the following two principles are addressed in the outcomes listed below...

Principle 7: Businesses should support a precautionary approach to environmental challenges and

Principle 8: undertake initiatives to promote greater environmental responsibility

In addition, Principle 1: human rights, is addressed in the work across all SDGs, and in particular with the work related to women's empowerment, health, and climate change.



Part III. Measurement of Outcomes

Concrete Actions in support of the activities described above

Actions to support sustainability education and policy development

I. Formal presentation by SeaTrust Institute leadership at the 2017 Academy of Management (AOM) National Meeting: Multiple presentations on the Sustainable Development Goals to AOM members consisting primarily of business and leadership educators.

- Professional Development Workshop TEACHING ETHICS & SOCIAL RESPONSIBILITY IN A CONFLICTED WORLD
 - o Ethics, tradeoffs and dilemmas across SDGs
- AOM CAUCUS North America PRME and SeaTrust Institute
 - O UN SUSTAINABLE DEVELOPMENT GOALS: WHAT CAN WE DO? 2017 Academy of Management National Meeting 2017. This session was about the education of business educators and professionals through a caucus at the Academy of Management meetings 2017, Atlanta, GA and continuing commitment for advancing the SDGs across the public/private membrane with the Director UN PRME NA Chapter and private company Vision2Lead.
- II. UN formal policy submissions as Admitted Observer civil society organization.
- Sample activity: Formal submission of views on options and ways to advance Adaptation Committee (AC) and Least Developed Countries Expert Group (LEG) mandates stemming from decision 1/CP.21, submitted to the United Nations Framework Convention on Climate Change, September, 2016. This submission addressed the range and scope of adaptation efforts including: defining the efforts; considerations of defining appropriate data, evaluation and review processes; difficulties in terms of data sourcing or completeness; modalities for developing countries; barriers to recognition of adaptation efforts in developing countries; methodologies, lessons learned and good practices in supporting adaptation efforts across all sectors.

III. UNFCCC COP 23, Bonn Germany November 2017 SeaTrust Institute Official Presentations to negotiators, delegates and attendees

- The AWARE|Pacific Islands Global Climate Ambassadors Program. This address was in the formal UNFCCC space and was endorsed by the host country, Fiji, at the United Nations Framework Convention on Climate Change COP 23/CMP



13/CMA 1-2 in Bonn, Germany on the topic of climate change adaptation through education of youth in Small Island Developing States.

- Addressed the United Nations Framework Convention on Climate Change COP 23/CMP 13/CMA 1-2 in Bonn, Germany on women empowerment from sustainable energy in Africa and mainstreaming health risks in climate change disasters.
- Co-Convened and presented *Creative Ingredients for a Sustainable Food System* in support of COP23 negotiations. Kunstmuseum Bonn, Bonn, Germany. This offsite activity included UN staff, private and public sector actors.

IV. SeaTrust Institute leadership participation in the *Event for Chief Sustainability Officers (CSO) and Sustainability Cham*pions, 19 Jul 2017 hosted by the World Business Council for Sustainable Development (WBCSD) and the United Nations Department of Economic and Social Affairs (UNDESA), through its Division for Sustainable Development, during the Ministerial segment of the High-level Political Forum (HLPF).

V. SeaTrust Institute <u>Educational Programs</u> 2016-2017 AWARE^(SM) program delivery and expansion.

Two active programs were started and continue in Kenya including a school north of Nairobi and an integrated program with the Kubujoi Institute training young community leaders in community services. A program development process began in Vanuatu to train young leaders as trainers of AWARE^(SM) for 2018-2019 through onsite training with ongoing support through the office of the Director of Women's Affairs.

 $AWARE^{(SM)}$ | Business and Industry developed in 2017 as a special program for businesses whether for CSR efforts, community awareness, or sustainability programs.

VI. SeaTrust Institute Research and Publications – sample publications

2016 Publication of 35 Country 2-Pagers synthesizing the environmental, social, political, economic and health aspects related to climate change in support of the country National Adaptation Plans. Revisions of some country documents to reflect SDG progress in 2017 was conducted and the results disseminated at COP22 and COP23.



February 2017 policy brief, Water Security and Sustainability

Partnerships formalized with mutual aims to advance the UN Global Compact principles

- I. Partnership of SeaTrust Institute Chairperson, UN PRME NA Chapter and independent business Vision2Lead to deliver SDG presentations to business educators and students at the Academy of Management meetings, August, 2017.
- II. Partnership of SeaTrust Institute with independent business Vision2Lead to develop and deliver training to business and academic audiences including "Women Helping Women: Women and the SDGs that was delivered in 2017.
- III. Partnership of SeaTrust Institute and Director of Women's Affairs, Vanuatu, for delivery of AWARE|Vanuatu to participants from islands in Vanuatu and to train trainers to continue program in communities across public and private sectors.