



15 September 2016

To our stakeholders:

I am pleased to confirm that The Ethics Institute reiterates its support to the United Nations Global Compact and its Ten Principles in the areas of Human Rights, Labour, Environment and Anti-Corruption. This is our Communication on Engagement with the United Nations Global Compact. We welcome feedback on its contents.

In this Communication of Engagement, we describe the actions that our organization has taken to support the UN Global Compact and its Principles as suggested for an organization like ours. We also commit to sharing this information with our stakeholders using our primary channels of communication.

Sincerely yours,

Prof GJ Rossouw
CEO – The Ethics Institute

Part II. Description of Actions

Academic

Staff members of The Ethics Institute were involved in the following academic activities in 2014 and 2015 incorporating GC principals through several activities:

- Served on the Editorial Board of African Journal of Business Ethics
- Served on the Editorial Board of Journal of Business Ethics
- Served on the Editorial Board of Turkish Journal of Business Ethics
- Guest lecture: University of Pretoria, Department of Architecture, Landscape Architecture and Interior Architecture on Ethics and Corporate Responsibility
- Lectured in the University of Pretoria's Senior Management Programme on Ethics and Corporate Responsibility
- Lectured in the University of Stellenbosch Business School's (USB ED), Executive Development Programme on Ethics and Corporate Responsibility
- Presented a workshop at University of Stellenbosch Business School: Africa Director Programme on the governance of social and ethics performance
- Lectured in the University of Johannesburg's UJ-IoDSA Corporate Governance Certification Programme on Ethics and Corporate Responsibility
- Contributed a chapter to the book: Building Ethical Business Cultures in Emerging Markets (Cambridge University Press) providing leadership in the field of business ethics including the importance of Corporate Responsibility and Sustainability
- Published "Africans' Perceptions of Chinese Business in Africa" in the Globethics Focus Publications Series with direct focus on sustainability and Corporate Responsibility
- Published an ethics risk handbook including tools to ethical business conduct, working under GC principle ten providing guidance against corruption in all its forms.
- The Ethics Institute entered into an agreement with UNISA School of Business Leadership to conduct joint and meta-research on ethics in organisations.
- The Ethics Institute entered into a cooperation agreement with the University of Stellenbosch Business School, and INSEAD Business School to develop an African Director Development programme working that promotes ethics and corporate responsibility.

Business Association

- The Ethics Institute is currently engaged with various business associations, representing several professional associations, respectively in Angola and Mozambique focused on enhancing sustainable business practices including but not limited to the Institute of Directors, Mozambique, Associação de Comércio e Indústria (ACIS), Ordem dos Contabilistas e Auditores de Moçambique (OCAM).
- The Ethics Institute joined a group of professional bodies in the corporate governance field to establish and launch the Anti-intimidation and Ethical Practices Forum (AEPF) to protect individuals who reveal corrupt business practices
- Conducted contracted research for Massmart on Citizens' Perceptions of Bribery, building national awareness on corruption challenges and public perceptions thus fighting corruption by sharing findings with nationwide media outlets
- The Ethics Institute provided support to The Business Ethics Network of Africa (BEN-Africa) and Globeethics.net based in Geneva, focused on Sustainability
- The Ethics Institute is involved in a Collective action for fighting corruption in Mozambique, initiated in the capital, Maputo building capacity to work against corruption in all its forms in both private and public sectors
- Delivered services to over 45 organisations based on good governance and providing leadership in the field of business ethics. Organisations includes, but not limited to ABSA, Airports Company of South Africa (ACSA), Altron Group, Central Bank of Swaziland, Development Bank of Southern Africa, Discovery Group, Kumba Iron Ore, MTN Group, Pinnacle Technology, Pioneer Foods, SASOL, SA Tourism, South African Reserve Bank, Total SA and Vodacom

Cities

- The Ethics Institute delivers the Global Compact principles within the Gauteng Province, South Africa building capacity for ethics management and better business practices in the City of Tshwane, City of Johannesburg, Ekurhuleni Metropolitan, Westonaria and Randfontein, Midvaal, Merafong, Lesedi and Mogale City.

Civil Society

- Participated in four Global Compact network sessions hosted in Johannesburg
- The Ethics Institute delivers the Global Compact principles through partnerships and anti-corruption capacity building with various civil society including, but not limited to The Institute of Directors, (IoD), The South African Institute of Chartered Accountants (SAICA), and Institute of Internal Auditors (IIA), the South African Institute for Professional Accountants (SAIPA) and the Anti-Intimidation and Ethical Practices Forum (AEPF).

Public Sector

- Published a resource document to enhance anti-corruption practices after completion of research on municipal procurement in South Africa. Published handbook titled: *Understanding the municipal procurement process – A guide for businesses*
- The Ethics Institute works closely with various departments within the public sector in South Africa, building anti-corruption capacity serving the UNGC principles.

Part III. Measurement of Outcomes

Outcomes 2014-2015

- Participation in activities of the local UNGC network providing advice and sharing experiences, providing leadership in the field of business ethics
- Working diligently to instil better business practices in both the public and private sector in South Africa, in the fight against corruption through capacity building focused on ethics, corporate responsibility and sustainability.
- Active contributor to good governance in South Africa through participation in development of the King IV report
- Deriving actions to advance a Collective action for fighting corruption in Mozambique in both private and public sectors offering guidance in corruption prevention and business ethics
- Co-founder of a business coalition to enhance business practices for medium and large organisations in South Africa providing ethics leadership based on the ten GC principles
- Contributed to the fight against corruption in Mauritius, advocating responsible business practices particularly providing training to the Private Sector Anti-corruption Taskforce and construction industry in Mauritius
- Successfully implemented the ten principles of GC in Angola, creating increased awareness through training services
- Offered several pro bono services to organisations on ethics awareness, corporate responsibility and anti-corruption management including:
 - King IV Committee on Governance for South Africa
 - Mauritius Corporate Governance Initiative
 - Institute of Directors, South Africa
 - Society of Industrial and Organisational Psychology of South Africa
 - Cape Chamber of Commerce
 - Association of Certified Fraud Examiners
 - Allied Nursing Association of South Africa
 - Cape Association of Polygraph Examiners
 - South African Occupational Social Workers Association