

Basel, 26 October 2015

Commitment of Engagement

Introduction

The Basel Institute on Governance is committed to the UN Global Compact Principles, and demonstrates this commitment through its focus on the precepts of the 10th Principle on anti corruption. In its work to combat and prevent corruption, the Basel Institute has partnered with the UNGC since 2013, and is pleased to report that the Basel Institute is also supporting the work of the UNGC through its Siemens Integrity Initiative: “Scaling up anti-corruption Collective Action: B-20 Hub on Anti-Corruption Collective Action”. The details and context for this cooperation between UNGC and the Basel Institute on Governance are described below.

B-20 Hub on Anti-Corruption Collective Action

In July 2013 the International Centre for Collective Action (ICCA), which is part of the Basel Institute on Governance, won a competitive tender to develop and maintain the B-20 Hub on Anti-Corruption Collective Action in partnership with the UN Global Compact. New and pre-existing in-house resources at the ICCA were immediately allocated to advance the pilot project, and already in January 2014 the new website www.collective-action.com was officially launched. This website is the first of its kind and today represents the official information platform for the B-20 Anti-Corruption Collective Action Hub. It serves as a comprehensive, interactive online database, as well as a resource centre for all information on Collective Action to combat corruption, including examples of existing Collective Action initiatives and practical tools and other knowledge products to support Collective Action. The ICCA is pleased to accept information for the website from stakeholders engaged in Collective Action to counter corruption and in particular to populate the database. The UNGC also has its own webpage within the collective-action.com website which helps extend its reach beyond the Local Networks.

The ICCA/B-20 Hub has also been active in creating and facilitating new Collective Action initiatives, for example in the Energy and Transport sector which was announced publicly in early 2013, and with a similar initiative established in a heavy industry sector. Further anti-corruption Collective Action initiatives are also underway, such as with the aerospace and defence industry through its industry organization International Forum on Business Ethics and Compliance, and also a multi-sector initiative that links companies that experience similar demands for facilitation payments and other forms of corruption in relation to their businesses in various countries in Africa.

In order to initiate the contacts between the UNGC Local Networks and the Basel Institute, the Head of the ICCA participated in the 13th Meeting of the UNGC Working Group on the 10th Principle against Corruption in New York in December 2013. The discussions with the private sector at this event afforded an opportunity to explain the work of the ICCA and to offer the Local Networks an opportunity to engage with the B-20 Anti Corruption Collective Action Hub. The panel event at the 13th Meeting also included Mr Georg Kell and the ICCA was honoured to be able to present its working relationship with UNGC at that event.

In mid 2014 the Basel Institute held a conference on anti corruption Collective Action – ‘Going Forward together’, to which the UNGC partners were invited, along with some 150 participants, many of whom represented current Collective Action initiatives from around the world. The conference afforded the opportunity to exchange views and experiences and to enable further work to be initiated.

UNGC Local Network engagement

In December 2014, the Basel Institute provided a written contribution to a joint publication of the **German Global Compact Network** and the German Institute for Compliance. The brochure “Korruptionsprävention – Ein Leitfaden für Unternehmen” (Corruption Prevention: A Guide for Companies), offers a series of concise and practical texts covering many areas around the topic of corruption prevention. Contributors from several different organizations and sectors addressed areas including the legal basis for corruption prevention, international developments, compliance risks, whistleblowing, red flags and grey areas, among other issues. The Basel Institute provided a chapter on anti-corruption Collective Action. The publication is available here:

http://www.collective-action.com/sites/collective.localhost/files/publications/korruptionspravention_dico_dgcn_0.pdf

The Basel Institute has been an active participant in several events of the **UNGC Local Network Switzerland**, attending the following conferences:

- June 19, 2015 (Zurich): Responsible Business Initiative
- December 10, 2014 (Geneva): My Brand, My Supply Chain and Human Rights.

The Basel Institute had approached UNGC Switzerland on the potential for collaboration in anti-corruption Collective Action as the topic for upcoming meetings of the local network. This proposition was eventually tabled, as UNGC Switzerland has instead chosen a human rights focus for its 2015 work stream. The Basel Institute remains interested in actively pursuing further collaboration with the local network as well as the wider membership of UNGC Switzerland.

The Basel Institute has also reached out to **UNGC Local Network France** on potential collaboration in anti-corruption Collective Action with its membership, as well as attending the following conference hosted by the French local network:

- June 4, 2015 (Paris) : “Le risque de corruption à l’export: Identifiez les maillons faibles de votre ‘supply chain’ internationale”

Finally, as part of the Basel Institute’s project with UNGC funded under the Siemens Integrity Initiative, “Scaling up anti-corruption Collective Action: B-20 Hub on Anti-Corruption Collective Action”, the Basel Institute and the ICCA will work with UNGC to strengthen the capacity of local networks in **Brazil, Japan, Nigeria** and **Uganda** in anti-corruption Collective Action. This project will continue through 2018.



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