2015 UN Global Compact Communication on Progress

Johnson Controls, Inc.

Introduction

Johnson Controls is a global diversified technology and industrial leader serving customers in more than 150 countries. Our 130,000 employees create quality products, services and solutions to optimize energy and operational efficiencies of buildings; lead-acid automotive batteries and advanced batteries for hybrid and electric vehicles; and interior systems for automobiles. Our commitment to sustainability dates back to our roots in 1885, with the invention of the first electric room thermostat. Through our growth strategies and by increasing market share we are committed to delivering value to shareholders and making our customers successful.

More information about Johnson Controls, Inc. can be found on our website or follow @johnsoncontrols on Twitter.

Access a printable version of our 2015 Communication on Progress in PDF format.

Statement of Continued Support

Message from Alex A. Molinaroli, Chairman, President and Chief Executive Officer

Johnson Controls signed the United Nations Global Compact in 2004. We have been committed to aligning our operations and strategies with the Compact's Ten Principles, which are universally accepted and cover human rights, labor, health and safety, the environment and anti-corruption. Our Human Rights & Sustainability Policy defines how we abide by these principles and related global standards. Our Ethics Policy, which is reviewed annually, guides us as we grow and confront new business issues in the countries in which we operate. Our Ethics Policy defines how we treat each other with fairness, dignity and respect, and provides guidance regarding acceptable and appropriate practices and actions for all of our employees.

As part of our commitment to support the Compact's Ten Principles, we have updated our Communication on Progress (COP) Report as well as met each of the 21 criterion required to achieve "Advanced Level" status. Our COP Report provides details on how we are implementing the Ten Principles and meeting many, if not most, best practices under each criterion relevant to our company.

In addition to posting our COP Report on the UN Global Compact website, we also post it on our public website, where you will also find our most recent Global Reporting Initiative (GRI) Report, Business and Sustainability Report, Ethics Policy and Human Rights & Sustainability Policy.

For 130 years, Johnson Controls, a global diversified technology and industrial leader, has made sustainability an integral part of business through its vision and values. We are continually recognized for these efforts through the results we deliver. We collaborate with customers, suppliers and communities worldwide, acting ethically to protect the environment and improve quality of life. Through our products, services, operations and partnerships, we promote the efficient use of resources, supporting global sustainability as well as business success.

We work to grow our business through sustainable products and services, improving operational effectiveness and expanding our engagement with key stakeholders on sustainability issues. These strategies not only reduce the environmental footprint of our operations and supply chain but also drive profitable growth as we lower costs, increase productivity and exceed increasing expectations for more sustainable products and services.

Johnson Controls remains committed to strengthening communities through environmental conservation, social service and education where we work and operate. In 2014, we donated $14.3 million and over 15,600 employees in more than 65 countries logged more than 239,000 volunteer hours to support these efforts. We are especially proud of our Conservation Leadership Program which supports hands-on youth environmental education around the globe. This year, over 1,500 students participated in this program.

In 2014, Johnson Controls was ranked #14 among the 100 Best Corporate Citizens by Corporate Responsibility Magazine and recognized as one of the World's Most Ethical Companies by Ethisphere Magazine list for the ninth consecutive year. It is the dedication and hard work of all of our employees across the globe that allow us to achieve the sustainability goals we set for ourselves and to stand behind our vision of creating a more safe, comfortable and sustainable world.

Alex A. Molinaroli
Chairman, President and Chief Executive Officer
Johnson Controls
www.johnsoncontrols.com

UN Global Compact Principles and Associated GRI Indicators

Principles	GRI G4 Indicators
Human Rights	
1 Businesses should support and respect the protection of internationally proclaimed human rights.	G4-HR1 G4-HR10 G4-HR2 G4-HR3 G4-HR4 G4-HR5 G4-HR6 G4-HR7 G4-HR8 G4-HR9
2 Businesses should ensure that they are not complicit in human rights abuses.	G4-HR1 G4-HR10 G4-HR7
Labour Standards	
3 Businesses should uphold the freedom of association and the effective recognition of the right to collective bargaining.	G4-LA4 G4-LA11 G4-HR4

4	Businesses should support the elimination of all forms of forced and compulsory labour.	G4-HR6			
5	Businesses should support the effective abolition of child labour.	G4-HR5			
6	Businesses should support the elimination of discrimination in respect of employment and occupation.	G4-LA1	G4-LA12	G4-LA13	G4-HR3

Environment					
7	Businesses should support a precautionary approach to environmental challenges.	G4-14			
8	Businesses should undertake initiatives to promote greater environmental responsibility.	G4-EN2 G4-EN22 G4-EN27	G4-EN6 G4-EN23 G4-EN31	G4-EN10 G4-EN19	G4-EN13 G4-EN28
9	Businesses should encourage the development and diffusion of environmentally friendly technologies.	G4-EN2 G4-EN28	G4-EN6 G4-EN27	G4-EN10	G4-EN19

Anti-corruption			
10	Businesses should work against corruption in all its forms, including extortion and bribery.	G4-SO4	G4-SO3	G4-SO5

UN Global Compact Advanced Level Criteria

	Criterion Number and Description	GRI G4 Indicators
i	Details of Communication on Progress:	
ii	Compliance with the Global Compact is demonstrated by:	
iii	Operations in high-risk and/or conflict affected areas:	Ethics Policy - January 2014 Human Rights & Sustainability Policy - JCI Supply Chain: Letter to Suppliers about Conflict Minerals
iv	COP includes and communicates measurement of outcomes:	2014 BSR 2014 Form 10K 2015 Business Roundtable Sustainability Report GRI Report
v	COP accuracy and completeness of information is assessed by a credible third-party:	G4-25 G4-26 G4-33
vi	The COP incorporates the following high standards of transparency and disclosure:	G4-1 G4-2 G4-32 G4-EC2
1	The COP describes mainstreaming into corporate functions and business units	Energy and Climate Change - Position Ethics Modules 2014 Risk Management Process 2014 Ethics Policy 2015 Business Roundtable Sustainability Report Energy & Climate Change Policy - JCI EPA Climate Leadership G4-1 G4-14 G4-2 G4-33 G4-45 G4-47 G4-56 G4-DMA - Aspect: Indirect Economic Impacts G4-DMA - Aspect: Products and Services G4-EC7 G4-EC8 G4-EN27 G4-HR1 G4-HR10 G4-PR1 GRI Report
2	The COP describes value chain implementation	G4-11 G4-14 G4-15 G4-16 G4-2 G4-24 G4-26 G4-27 G4-33 G4-45 G4-47 G4-56 G4-DMA - Aspect: Anti-competitive Behavior G4-DMA - Aspect: Anti-corruption G4-DMA - Aspect: Assessment Human Rights G4-DMA - Aspect: Child Labor G4-DMA - Aspect: Compliance G4-DMA - Aspect: Diversity and Equal Opportunity G4-DMA - Aspect: Employment G4-DMA - Aspect: Equal Remuneration for Women and Men G4-DMA - Aspect: Forced or Compulsory Labor G4-DMA - Aspect: Freedom of Association and Collective Barga... G4-DMA - Aspect: Grievance Mechanisms for Impacts on Society... G4-DMA - Aspect: Human Rights Grievance Mechanisms G4-DMA - Aspect: Indigenous Rights G4-DMA - Aspect: Investment G4-DMA - Aspect: Labor Practices Grievance Mechanisms G4-DMA - Aspect: Labor/Management Relations G4-DMA - Aspect: Local Communities G4-DMA - Aspect: Non-discrimination G4-DMA - Aspect: Occupational Health and Safety G4-DMA - Aspect: Public Policy G4-DMA - Aspect: Security Practices G4-DMA - Aspect: Supplier Assessment for Impacts on Society ... G4-DMA - Aspect: Supplier Assessment for Labor Practices G4-DMA - Aspect: Supplier Human Rights Assessment G4-DMA - Aspect: Training and Education G4-EC1 G4-EC2 G4-EC7 G4-EC8 G4-EN1

#	Criterion	Links
		G4-EN10 G4-EN11 G4-EN12 G4-EN13 G4-EN14 G4-EN15 G4-EN16 G4-EN17 G4-EN18 G4-EN19 G4-EN2 G4-EN20 G4-EN21 G4-EN22 G4-EN23 G4-EN24 G4-EN25 G4-EN26 G4-EN27 G4-EN28 G4-EN29 G4-EN3 G4-EN30 G4-EN31 G4-EN32 G4-EN33 G4-EN34 G4-EN4 G4-EN5 G4-EN6 G4-EN7 G4-EN8 G4-EN9 G4-HR1 G4-HR10 G4-HR2 G4-HR4 G4-HR6 G4-HR7 G4-HR8 G4-LA10 G4-LA11 G4-LA12 G4-LA2 G4-LA4 G4-LA5 G4-LA6 G4-LA7 G4-LA9 G4-PR3 G4-PR5 G4-PR7 G4-SO1 G4-SO10 G4-SO11 G4-SO4 G4-SO6 G4-SO8 G4-SO9
20	The COP describes Board adoption and oversight	Executive Involvement & Steering program fact sheet — Risk Management Process — 2014 Ethics Policy — 2014 Form 10K — Ethics Policy - January 2014 — G4-2 — G4-45 — G4-47 — G4-EN27 — GRI Report
3	The COP describes robust commitments, strategies or policies in the area of human rights	G4-2 G4-45 G4-47 G4-56 G4-HR10 GRI Report
4	The COP describes effective management systems to integrate the human rights principles	G4-15 G4-2 G4-27 G4-35 G4-36 G4-42 G4-43 G4-44 G4-45 G4-46 G4-47 G4-48 G4-50 G4-52 G4-54 G4-55 G4-DMA - Aspect: Assessment Human Rights G4-DMA - Aspect: Child Labor G4-DMA - Aspect: Forced or Compulsory Labor G4-DMA - Aspect: Freedom of Association and Collective Barga... G4-DMA - Aspect: Indigenous Rights G4-DMA - Aspect: Investment G4-DMA - Aspect: Non-discrimination G4-DMA - Aspect: Security Practices G4-DMA - Aspect: Supplier Human Rights Assessment G4-EN6 G4-HR1 G4-HR10 G4-HR2 G4-HR3 G4-HR4 G4-HR5 G4-HR6 G4-HR7 G4-HR8 G4-LA10 G4-LA11 G4-LA9 GRI Report
5	The COP describes effective monitoring and evaluation mechanisms of human rights integration	G4-16 G4-2 G4-26 G4-27 G4-33 G4-35 G4-36 G4-42 G4-43 G4-44 G4-45 G4-46 G4-47 G4-48 G4-50 G4-52 G4-54 G4-55 G4-DMA - Aspect: Anti-competitive Behavior G4-DMA - Aspect: Indigenous Rights G4-DMA - Aspect: Investment G4-DMA - Aspect: Local Communities G4-DMA - Aspect: Non-discrimination G4-DMA - Aspect: Public Policy G4-DMA - Aspect: Supplier Human Rights Assessment G4-EN24 G4-EN27 G4-EN29 G4-EN31 G4-HR1 G4-HR10 G4-HR3 G4-HR8 G4-LA10 G4-LA11 G4-LA5 G4-LA6 G4-LA9 G4-PR4 G4-PR7 G4-PR8 G4-PR9 G4-SO1 G4-SO6 G4-SO7
6	The COP describes robust commitments, strategies or policies in the area of labor	G4-1 G4-2 G4-45 G4-47 G4-56 G4-DMA - Aspect: Anti-competitive Behavior G4-DMA - Aspect: Anti-corruption G4-DMA - Aspect: Compliance G4-DMA - Aspect: Grievance Mechanisms for Impacts on Society... G4-DMA - Aspect: Investment G4-DMA - Aspect: Local Communities G4-DMA - Aspect: Public Policy G4-DMA - Aspect: Supplier Assessment for Impacts on Society ... G4-DMA - Aspect: Supplier Human Rights Assessment G4-HR1 G4-HR10 G4-LA2 GRI Report
7	The COP describes effective management systems to integrate the labor principles	G3 HR5 G4-1 G4-15 G4-2 G4-45 G4-47 G4-56 G4-DMA - Aspect: Child Labor G4-DMA - Aspect: Forced or Compulsory Labor G4-DMA - Aspect: Freedom of Association and Collective Barga... G4-DMA - Aspect: Indigenous Rights G4-DMA - Aspect: Investment G4-DMA - Aspect: Occupational Health and Safety G4-DMA - Aspect: Security Practices G4-DMA - Aspect: Supplier Human Rights Assessment G4-DMA - Aspect: Training and Education G4-HR1 G4-HR10 G4-HR2 G4-HR4 G4-HR6 G4-HR7 G4-HR8 G4-LA11 G4-LA7 G4-LA9 GRI Report
8	The COP describes effective monitoring and evaluation mechanisms of labor principles integration	G4-14 G4-27 G4-33 G4-45 G4-47 G4-DMA - Aspect: Child Labor G4-DMA - Aspect: Diversity and Equal Opportunity G4-DMA - Aspect: Forced or Compulsory Labor G4-DMA - Aspect: Freedom of Association and Collective Barga... G4-DMA - Aspect: Indigenous Rights G4-DMA - Aspect: Investment G4-DMA - Aspect: Non-discrimination G4-DMA - Aspect: Occupational Health and Safety G4-DMA - Aspect: Training and Education G4-HR1 G4-HR10 G4-HR3 G4-HR4 G4-HR5 G4-HR6 G4-HR8 G4-LA10 G4-LA11 G4-LA12 G4-LA5 G4-LA7 GRI Report
9	The COP describes robust commitments, strategies or policies in the area of environmental stewardship	G4-1 G4-15 G4-2 G4-33 G4-DMA - Aspect: Biodiversity G4-EN11 G4-EN12 G4-EN13 G4-HR10 GRI Report
10	The COP describes effective management systems to integrate the	G4-1 G4-14 G4-15 G4-2 G4-26 G4-33 G4-45 G4- G4-DMA - Aspect: G4-DMA - Aspect: G4-DMA - Aspect: Products and G4- G4- G4-

	environmental principles	47 G4-EN7	Energy G4-LA9	Materials GRI Report	Services		EC2	EN1 EN27

| 11 | The COP describes effective monitoring and evaluation mechanisms for environmental stewardship | G4-1   G4-14   G4-2   G4-33   G4-DMA - Aspect: Biodiversity   G4-DMA - Aspect: Compliance   G4-DMA - Aspect: Effluents and Waste

G4-DMA - Aspect: Emissions   G4-DMA - Aspect: Energy   G4-DMA - Aspect: Environmental Grievance Mechanism   G4-DMA - Aspect: Materials   G4-DMA - Aspect: Overall   G4-DMA - Aspect: Products and Services   G4-DMA - Aspect: Supplier Environmental Assessment

G4-DMA - Aspect: Transport   G4-DMA - Aspect: Water   G4-EN1   G4-EN10   G4-EN11   G4-EN12   G4-EN13
G4-EN14   G4-EN15   G4-EN16   G4-EN17   G4-EN18   G4-EN2   G4-EN20
G4-EN21   G4-EN22   G4-EN23   G4-EN24   G4-EN25   G4-EN26   G4-EN27
G4-EN28   G4-EN29   G4-EN3   G4-EN30   G4-EN31   G4-EN32   G4-EN33
G4-EN34   G4-EN4   G4-EN5   G4-EN6   G4-EN7   G4-EN8   G4-EN9
GRI Report |

| 12 | The COP describes robust commitments, strategies or policies in the area of anti-corruption | G4-45   G4-47   G4-DMA - Aspect: Anti-corruption   G4-DMA - Aspect: Investment   G4-DMA - Aspect: Supplier Human Rights Assessment   G4-HR1   G4-HR10
G4-SO3   G4-SO4   G4-SO5   GRI Report |

| 13 | The COP describes effective management systems to integrate the anti-corruption principle | G4-24   G4-25   G4-26   G4-27   G4-45   G4-47   G4-DMA - Aspect: Anti-corruption
G4-DMA - Aspect: Investment   G4-DMA - Aspect: Supplier Human Rights Assessment   G4-HR1   G4-HR10   G4-SO3   G4-SO4   G4-SO5
GRI Report |

| 14 | The COP describes effective monitoring and evaluation mechanisms for the integration of anti-corruption | G4-15   G4-2   G4-33   G4-45   G4-47   G4-DMA - Aspect: Anti-corruption   G4-DMA - Aspect: Child Labor

G4-DMA - Aspect: Forced or Compulsory Labor   G4-DMA - Aspect: Freedom of Association and Collective Barga...   G4-DMA - Aspect: Human Rights Grievance Mechanisms   G4-DMA - Aspect: Indigenous Rights   G4-DMA - Aspect: Investment   G4-DMA - Aspect: Non-discrimination   G4-DMA - Aspect: Security Practices

G4-DMA - Aspect: Supplier Human Rights Assessment   G4-SO4   G4-SO5   GRI Report |

| 15 | The COP describes actions taken in support of broader UN goals and issues | G4-1   G4-14   G4-15   G4-16   G4-2   G4-24   G4-26
G4-56   G4-DMA - Aspect: Anti-competitive Behavior   G4-DMA - Aspect: Anti-corruption   G4-DMA - Aspect: Compliance   G4-DMA - Aspect: Customer Health and Safety   G4-DMA - Aspect: Economic Performance   G4-DMA - Aspect: Effluents and Waste

G4-DMA - Aspect: Employment   G4-DMA - Aspect: Energy   G4-DMA - Aspect: Environmental Grievance Mechanism   G4-DMA - Aspect: Grievance Mechanisms for Impacts on Society...   G4-DMA - Aspect: Indirect Economic Impacts   G4-DMA - Aspect: Local Communities   G4-DMA - Aspect: Materials

G4-DMA - Aspect: Occupational Health and Safety   G4-DMA - Aspect: Overall   G4-DMA - Aspect: Procurement Practices   G4-DMA - Aspect: Products and Services   G4-DMA - Aspect: Public Policy   G4-DMA - Aspect: Supplier Assessment for Impacts on Society ...   G4-DMA - Aspect: Supplier Environmental Assessment

G4-DMA - Aspect: Transport   G4-DMA - Aspect: Water   G4-EC1   G4-EC2   G4-EC7   G4-EC8   G4-EC9
G4-EN10   G4-EN2   G4-EN26   G4-EN27   G4-EN28   G4-EN3   G4-EN30
G4-EN31   G4-EN32   G4-EN33   G4-EN34   G4-EN4   G4-EN5   G4-EN6
G4-EN7   G4-EN8   G4-LA2   G4-LA6   G4-LA8   G4-PR1   G4-PR9
G4-SO1   G4-SO10   G4-SO11   G4-SO3   G4-SO4   G4-SO5   G4-SO6
G4-SO7   G4-SO8   G4-SO9   GRI Report |

| 16 | The COP describes strategic social investments and philanthropy | G4-15   G4-16   G4-2   G4-45   G4-47   G4-56   G4-DMA - Aspect: Anti-competitive Behavior

G4-DMA - Aspect: Anti-corruption   G4-DMA - Aspect: Compliance   G4-DMA - Aspect: Grievance Mechanisms for Impacts on Society...   G4-DMA - Aspect: Investment   G4-DMA - Aspect: Local Communities   G4-DMA - Aspect: Public Policy   G4-DMA - Aspect: Supplier Assessment for Impacts on Society ...

G4-DMA - Aspect: Supplier Human Rights Assessment   G4-EC7   G4-EC8   G4-HR10   G4-HR2   G4-SO1   G4-SO10
G4-SO11   G4-SO3   G4-SO4   G4-SO5   G4-SO6   G4-SO7   G4-SO8
G4-SO9   GRI Report |

| 17 | The COP describes advocacy and public policy engagement | EEO Policy.pdf   Energy and Climate Change - Position   Supplier Diversity Policy   2015 Business Roundtable Sustainability Report   Conflict Minerals Policy - JCI   Energy & Climate Change Policy - JCI   Ethics Policy – January 2014
G4-2   G4-24   G4-25   G4-26   G4-27   G4-45   G4-47 |

		G4-56	G4-DMA - Aspect: Anti-competitive Behavior	G4-DMA - Aspect: Anti-corruption	G4-DMA - Aspect: Compliance	G4-DMA - Aspect: Grievance Mechanisms for Impacts on Society...	G4-DMA - Aspect: Local Communities	G4-DMA - Aspect: Public Policy
		G4-DMA - Aspect: Supplier Assessment for Impacts on Society ...	G4-EC8	GRI Report	Human Rights & Sustainability Policy - JCI	JCI Disclosure Policy	Supplier Standards Manual	Supply Chain: Supplier Sustainability expectations
18	The COP describes partnerships and collective action	2015 Business Roundtable Sustainability Report	G4-15	G4-16	G4-24		G4-25	G4-26 G4-27
		G4-DMA - Aspect: Anti-competitive Behavior	G4-DMA - Aspect: Anti-corruption	G4-DMA - Aspect: Compliance	G4-DMA - Aspect: Grievance Mechanisms for Impacts on Society...	G4-DMA - Aspect: Local Communities	G4-DMA - Aspect: Public Policy	G4-DMA - Aspect: Supplier Assessment for Impacts on Society ...
		G4-EC7	G4-EC8	G4-SO1	G4-SO10	G4-SO11	G4-SO3 G4-SO4	
		G4-SO5	G4-SO6	G4-SO7	G4-SO8	GRI Report		
19	The COP describes CEO commitment and leadership	G4-1 G4-2 G4-37 G4-45 G4-47 G4-49 G4-51						
		G4-53 G4-56 G4-LA10 G4-LA11 G4-LA2 GRI Report						
21	The COP describes stakeholder engagement.	G4-15 G4-2 G4-24 G4-25 G4-26 G4-27 G4-35						
		G4-36 G4-42 G4-43 G4-44 G4-45 G4-46 G4-47						
		G4-48 G4-50 G4-52 G4-54 G4-55 GRI Report						
Annex 1	The COP describes policies and practices related to the company's core business operations in high-risk or conflict-affected areas	G4-15	G4-DMA - Aspect: Anti-corruption	G4-DMA - Aspect: Biodiversity	G4-DMA - Aspect: Compliance	G4-DMA - Aspect: Diversity and Equal Opportunity	G4-DMA - Aspect: Effluents and Waste	G4-DMA - Aspect: Emissions
		G4-DMA - Aspect: Employment	G4-DMA - Aspect: Energy	G4-DMA - Aspect: Environmental Grievance Mechanism	G4-DMA - Aspect: Equal Remuneration for Women and Men	G4-DMA - Aspect: Investment	G4-DMA - Aspect: Labor Practices Grievance Mechanisms	G4-DMA - Aspect: Labor/Management Relations
		G4-DMA - Aspect: Local Communities	G4-DMA - Aspect: Materials	G4-DMA - Aspect: Occupational Health and Safety	G4-DMA - Aspect: Overall	G4-DMA - Aspect: Products and Services	G4-DMA - Aspect: Supplier Assessment for Labor Practices	G4-DMA - Aspect: Supplier Environmental Assessment
		G4-DMA - Aspect: Supplier Human Rights Assessment	G4-DMA - Aspect: Training and Education	G4-DMA - Aspect: Transport	G4-DMA - Aspect: Water	G4-HR1	G4-HR10	G4-HR2
		G4-SO1	G4-SO3	G4-SO4	GRI Report			
Annex 2	The COP describes policies and practices related to the company's government relations in high-risk or conflict-affected areas	Supplier Scorecard Process	G4-45 G4-47 G4-56	GRI Report	Supply Chain: Letter to Suppliers about Conflict Minerals	Supply Chain: Supplier Sustainability expectations		
Annex 3	The COP describes local stakeholder engagement and strategic social investment activities of the company in high-risk or conflict-affected areas	2014 Awards 100 Best Corporate Citizens List	2014 Awards Top Supplier - China	2014 BSR	2014 Ethics Policy	2015 AWARDS - CR 100 Best Corporate Citizen	2015 AWARDS - World's Most Ethical Company	2015 Business Roundtable Sustainability Report
		G4-15	G4-16	G4-24	G4-25	G4-26	G4-27	G4-DMA - Aspect: Anti-competitive Behavior
		G4-DMA - Aspect: Anti-corruption	G4-DMA - Aspect: Compliance	G4-DMA - Aspect: Grievance Mechanisms for Impacts on Society...	G4-DMA - Aspect: Local Communities	G4-DMA - Aspect: Public Policy	G4-DMA - Aspect: Supplier Assessment for Impacts on Society ...	G4-HR10
		G4-SO1	G4-SO10	G4-SO11	G4-SO3	G4-SO4	G4-SO5	G4-SO6
		G4-SO7	G4-SO8	G4-SO9	Human Rights & Sustainability Policy - JCI	JCI Disclosure Policy	JCI Insider Trading Policy	Supplier Portal w/ Supplier Manual
		Supply Chain: Letter to Suppliers about Conflict Minerals	Supply Chain: Supplier Sustainability expectations					