

## Implementation of the 10 Principles of the UN Global Compact



### The 10 Principles of the UN Global Compact

### Implementation at Credit Suisse

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#### Human Rights

Principle 1	Businesses should support and respect the protection of internationally proclaimed human rights; and	<ul style="list-style-type: none"> <li>• Involvement in the formulation of sector-specific recommendations for the application of the UN Guiding Principles on Business and Human Rights (Thun Group of Banks)</li> </ul>	18–22
		<ul style="list-style-type: none"> <li>• Publication of our Statement on Human Rights</li> </ul>	18–22
Principle 2	make sure that they are not complicit in human rights abuses.	<ul style="list-style-type: none"> <li>• Application of the Reputational Risk Review Process</li> <li>• Implementation of sector-specific policies</li> </ul>	18–22 18–22

#### Labor

Principle 3	Businesses should uphold the freedom of association and the effective recognition of the right to collective bargaining;	<ul style="list-style-type: none"> <li>• Credit Suisse Staff Council in Switzerland (internal employee representation)</li> </ul>	42–48
		<ul style="list-style-type: none"> <li>• European Works Council</li> </ul>	8
Principle 4	the elimination of all forms of forced and compulsory labor;	<ul style="list-style-type: none"> <li>• Supply Management: Credit Suisse Supplier Code of Conduct</li> </ul>	19
		<ul style="list-style-type: none"> <li>• Signing of the Walk Free pledge combating all forms of modern slavery</li> </ul>	19
Principle 5	the effective abolition of child labor; and	<ul style="list-style-type: none"> <li>• Implementation of sector-specific policies</li> </ul>	19
		<ul style="list-style-type: none"> <li>• Supply Management: Credit Suisse Supplier Code of Conduct</li> </ul>	19
Principle 6	the elimination of discrimination in respect of employment and occupation.	<ul style="list-style-type: none"> <li>• Diversity and Inclusion</li> <li>• Accessibility</li> </ul>	45–46 27

#### Environment

Principle 7	Businesses should support a precautionary approach to environmental challenges;	<ul style="list-style-type: none"> <li>• Application of the Reputational Risk Review Process</li> </ul>	18–22
		<ul style="list-style-type: none"> <li>• Implementation of sector-specific policies</li> </ul>	18–22
Principle 8	undertake initiatives to promote greater environmental responsibility; and	<ul style="list-style-type: none"> <li>• Global greenhouse gas neutrality since 2010</li> </ul>	49–55
		<ul style="list-style-type: none"> <li>• ISO 14001-certified environmental management system</li> </ul>	53–55
		<ul style="list-style-type: none"> <li>• Credit Suisse Cares for Climate initiative</li> </ul>	50–51
Principle 9	encourage the development and diffusion of environmentally friendly technologies.	<ul style="list-style-type: none"> <li>• Use of clean energy technologies in our operations</li> <li>• Offering of sustainable products and services</li> </ul>	49–55 23–28

#### Anti-Corruption

Principle 10	Businesses should work against corruption in all its forms, including extortion and bribery.	<ul style="list-style-type: none"> <li>• Active member of the Wolfsberg Group</li> </ul>	13–14
		<ul style="list-style-type: none"> <li>• Further development of internal standards and training for employees</li> </ul>	13–14