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United Nations Global Compact

Communication of Progress

From March 2015 to February 2016

We are pleased to confirm that ABT Power Management, Inc. (formerly known as Advanced Battery Technologies, Inc.) reaffirms its support to the United Nations Global Compact in the areas of human rights, labor, environment and anti-corruption. With this communication, we express our intent to continue to advance these principles within our sphere of influence and describe our actions to continually improve the integration of the Global Compact and its principles into our business strategy, culture and Daily operations.

Sincerely yours,

Steve Lineberger
President
ABT

ABT Power Management, Inc. Human Rights Position (Principle 1 & 2)

- *Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights; and*
- *Principle 2: make sure that they are not complicit in human rights abuses.*

Assessment, Policy and Goals

ABT supports the United Nations' Universal Declaration of Human Rights. Promoting human rights standards internally and throughout all of ABT's business operations is in line with our company's Core Values and Leadership Principles.

- We are commitment to foster the implementation of human rights is supported through our internal policies and procedures.
- These policies and procedures are in effect throughout all ABT locations.
- We continue to use this position as a framework to guide our decision-making and constructive engagement within our sphere of influence, while adhering to and exceeding federal, state and local laws and regulations.

Implementation

- Awareness raising or training of employees on Human Rights is part of our new employee orientation
- Allocation of responsibilities for the protection of Human Rights within our company is part of our employee internal procedures and policies
- Our Internal policies and procedures support Human Rights

Measurement of Outcomes

- Any reported incident will launch an internal investigation of all aspects
- All Investigation, decisions, legal cases and other relevant aspects related to human rights will be handle within the framework of applicable laws and internal policies.



Labor Principles

- *Principle 3: Businesses should uphold the freedom of association and the effective recognition of the right to collective bargaining;*
- *Principle 4: the elimination of all forms of forced and compulsory labor;*
- *Principle 5: the effective abolition of child labor*
- *Principle 6: the elimination of discrimination in respect of employment and occupation.*

Assessment, Policy and Goals

We promote and are committed to an open and constructive dialogue with our employees, encourage our employees to fully utilize their potential by offering ample training and education opportunities. We follow a clear zero tolerance to child labor policy in our business operations and require equal treatment of all employees as a fundamental principle of our corporate policy.

Implementation

- We provide our employees with fair and competitive compensation and benefits.
- Our healthcare benefits are comprehensive and available to all fulltime hourly and salaried employees.
- ABT continues to expand our ABT University initiative utilizing a state-of-art learning system to give our employees broad access to training and promote the principle of equal opportunities for all.
- No person is to be unfairly disadvantaged, favored or ostracized because of ethnic or racial status, color, nationality, descent, religion, gender, age, physical characteristics or appearance, sexual orientation or political affiliation. Harassment of any kind is forbidden

Measurement of Outcomes

- All forms of forced and compulsory labor are strictly prohibited. Our compensation systems are linked to company and individual performance
- We comply with all applicable laws and agreements on working time and paid leave
- We expect our employees to be friendly, objective and fair in their dealings with colleagues and third parties. Under comparable prerequisites, we provide equal pay for equal work. We make available and encourage employees to use a third party 1-800 number if they feel their issues are not addressed by management.



Environment: (Principle 7, 8 & 9)

- *Principle 7: Businesses should support a precautionary approach to environmental challenges;*
- *Principle 8: undertake initiatives to promote greater environmental responsibility; and*
- *Principle 9: encourage the development and diffusion of environmentally friendly technologies.*

Assessment, Policy and Goals

We are committed to making the Global Compact environment principles part of the strategy, culture and day-to-day operations of our company, and to engaging in collaborative projects which advance the broader development goals of the United Nations. We are fully engaged to provide environmental friendly products and technologies, promote training and assessment of environmental risks.

Implementation

- Environmental is part of our corporate strategy sales and administrative policies and procedures.
- Our training department courses address environmental responsibility and proper handling of hazardous materials.
- Our sales strategy focus on cost of ownership savings to include reduction of carbon footprint and environmental risks.

Measurement of Outcomes

- Our commitment to the recycling of scrap lead-acid batteries makes a clear statement of this commitment to the general public and our employees. We measure the amount of lead scrap removed from customer sites and sent for recycling.
- Moreover, our unique approach to industrial power management typically significantly reduces the number of lead-acid batteries required for a given application. We measure customer savings for pounds of lead reduction and electricity usage
- In 2014 we expanded our environmental friendly product offering with addition of Hydrogen Fuel cells.

Anti-corruption: (Principle 10)

- *Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.*
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Assessment, Policy and Goals

ABT is committed to ensuring that each branch or operation of our company meets its legal obligations and prevents, detects and eliminates corrupt practices, and cooperates to reduce opportunities for bribery and corruption. ABT believes that it is essential to create an environment in which everyone feels that they can raise any matters of genuine concern without fear of disciplinary action being taken against them or fear of reprisal.

Implementation

- Our policies define our employee's responsibility: Each person within our organization has a duty to speak out against suspected acts of bribery or corrupt practices. Everyone has a responsibility to help prevent, detect and report instances of suspected bribery and wrong doing.

Measurement of Outcomes

- We will ensure that all cases of suspected bribery are dealt with consistently and whether or not an investigation shows bribery exists; there will be no retaliation against or adverse consequences for the person reporting the possible case of bribery. Further, no employee will suffer any retaliation or adverse consequences for refusing to pay a bribe.