



Genomma Lab.®

Code of Conduct
for Suppliers and
Business Partners

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Code of Conduct for Suppliers and Business Partners

Introduction

Genomma Lab Internacional, S.A.B. de C.V. and its subsidiaries (hereinafter "Genomma Lab Internacional"), it is one of the Mexican companies with the highest growth in our country, and in the international market, specifically Latin America, in addition to being the only Mexican Pharmaceutical Company that is listed on the Mexican Stock Exchange.

Genomma Lab Internacional is a company concerned to sustain not only economic growth, but also be regarded as an Ethical and Socially Responsible Company, as guaranteed by certifications of Socially Responsible Company, and Model of Gender Equity, among others.

The code of conduct for suppliers and trading partners establishes guidelines of behavior and ethics, with the aim to fulfill the fundamental principles that Genomma Lab Internacional considers must exist for a sound commercial practice.

Genomma Lab Internacional expects its suppliers and trading partners to act in accordance with the highest standards of personal and professional integrity in all the aspects of their activities, and that they comply with all the regulations and applicable policies.

Dear Suppliers and Trading Partners:

Genomma Lab International is pleased to share with you our code of conduct for suppliers and trading partners; a document that sets out the self-regulation framework which allows us to develop and maintain relations of honesty and trust with those people with whom we have business relationships.

For the first time Genomma Lab Internacional share this document with its suppliers and trading partners, to ensure that our trade relations reflect the highest ethical standards.

We suggest that you review and transmit this information as appropriate, and to ensure that its operation, as well as the principles outlined in the code of conduct for suppliers and trading partners are met in all the business transactions with Genomma Lab Internacional, and we reiterate that we are at your disposal to help you to solve any doubt or concern arising out of the present document.

Sincerely,

Genomma Lab Internacional S.A.B. de C.V.

Chapter I:

General Provisions

Article 1.

Definitions: For the purposes of this document, it will be understood:

a) Acts of corruption: any act whereby a person itself, or through an intermediary, promises, offers, or gives a stimulus with economic value to any member of the group or any third party, to induce him/her to perform or refrain from performing an act, in order to obtain or retain a benefit or unfair advantage, with independence of the acceptance or receipt of stimulus or the result.

b) Ethics Committee: body to ensure and monitor proper compliance with the code of conduct for suppliers and trading partners.

c) Conflict of interest: a situation in which a member (employee, Director, officer, shareholder, etc.) of the group, a supplier or business partner, could be influenced by personal considerations about a decision that can give any earnings, either personal or to any third party with whom has a relationship, as a result of negotiations or business relationship between these.

d) Genomma Lab: Genomma Lab Internacional, S.A.B. de C.V.

e) Group: represents Genomma Lab and subsidiaries.

f) Confidential information: refers to, but is not limited to, any confidential information that the Group protects with such a character; the substances, compounds, devices, hardware, or software, or materials supplied by the Group; knowhow, data, trade secrets (as defined in the Law on Industrial Property), technologies, expertise, and other information whether or not patentable or which constitutes a copyright, or of any other kind, inclusive oral information, that is directly or indirectly related to investigation trials, techniques, processes, formulas, information relating to the portfolio of customers, sales, prices, margins, costs, advertising materials, presentations, information about records, stability tests, dosage, ways of use, names of researchers and staff involved, technical information, scientific information, test development processes, and any other information that is delivered by the group, whether is marked or not as confidential.

g) Partners: any individual or juristic person having a commercial or contractual relationship, different from the supplier, with some member of the group.

h) Subsidiaries: juristic person, national or foreign, in which Genomma Lab has directly or indirectly the control of assets and/or operations.

i) Suppliers: any individual or juristic person having a commercial and/or contractual relationship, by means of which provides goods or services to any member of the group.

j) Trafficking of influences: any act arising out of the use of personal, commercial, or institutional relationships, economic or political power, being real or fictitious, with the aim that any member of the group, or any third party, performs or refrain from performing an act, in order to obtain or retain a benefit or unfair advantage, with independence of the acceptance or receipt of stimulus or the result.

Article 2.

The suppliers and trading partners assume the commitment to abide fully by the provisions contained in this code of conduct, and they agree to give full compliance.

Chapter II:

Regulatory Compliance and Quality

Article 4.

The suppliers and trading partners of the group must comply with all of the national or international regulations in force which are applicable, on the occasion of their business practices.

Article 5.

Fulfillment of the criteria of assessment, selection and registration of providers required by the group

Article 6.

The suppliers and trading partners acknowledge and agree that the group will only operate with suppliers and trading partners that produce, store, or distribute products in accordance with the Good Manufacturing Practices applicable in their respective industries; and waiting for they provide goods and services that successfully meet these specifications.

Chapter III:

Confidential information

Article 7.

The suppliers and trading partners may only make use of confidential information for the purposes specified in the contract governing the business relationship, or in case there is no contract, that which is strictly necessary to fulfill the purposes of the existing trade relations.

Article 8.

Do not use this information for the benefit of the supplier or business partner.

Article 9.

The suppliers and trading partners must have security measures for the protection of confidential information, in order to prevent its dissemination.

Article 10.

In the event that an authority requires the disclosure of confidential information by a vendor or business partner, this vendor or business partner shall inform to the group immediately, in writing, so that the latter is able to know the confidential information that is required, and to determine the form and terms of disclosure, according to the applicable regulations.

Article 11.

In case of having knowledge of the improper disclosure of confidential information, the supplier or business partner, must give notice of the fact immediately and without delay to the Member of the group with which holds the contractual or commercial relationship, and to the Ethics Committee.

Chapter IV:

Acts of Corruption and traffic of influences

Article 12.

It is forbidden to suppliers and trading partners to conduct acts of corruption, or to make use of traffic of influences, according to the anti-corruption policy.

Article 13.

The suppliers and trading partners must comply with all of the guidelines established in the anti-corruption policy.

Chapter V:

Conflict of interests

Article 14.

The suppliers and trading partners must notify to the Member of the group with which holds the contractual or commercial relationship, and to the Ethics Committee, any possible conflict of interest between any of its members (shareholders, managers, employees, beneficiaries, etc.) and the members of the group.

Chapter VI:

Working practices

Article 15.

Group does not accept the child labour and child exploitation, abusive or exploitative labour practices, as well as abuse to their employees, such as physical punishment, sexual harassment, harassment, discrimination, among others.

Article 16.

The Group forbids its suppliers and trading partners to perform any of the practices mentioned in the article above, on the understanding that the group will not start or will not continue any commercial or contractual relationship, with a third party that incurs such practices, reserving the right to verify compliance with this principle.

Chapter VII: Environment

Article 17.

All the suppliers and trading partners should protect the environment by complying with the environmental regulations, applicable and in force, as well as carry out their business activities in a responsible manner.

Chapter VIII: Compliance and cooperation

Article 18.

The Group expects its suppliers and trading partners to comply with the provisions of this code of conduct and secondary policies, and calls them to establish similar standards that promote best business practices.

Chapter IX:

Sanctions and notifications

Article 19.

In case that any suppliers and/or trading partners fall into non-compliance or violation of this code of conduct, it may determine the termination of the commercial or contractual relationship, as may be the case, carry out the corresponding legal actions.

Article 20.

All of the notifications related to this code of conduct should be done by means the contact point of the group, with suppliers and trading partners, and to the Ethics Committee of Genomma Lab at e-mail: comitedeetica@genommalab.com

Acknowledgement of Receipt

Hereby I confirm that I have full knowledge of the code of conduct for suppliers and trading partners issued by Genomma Lab Internacional, S.A.B. de C.V., and that I understand all of its content and scope.

In relation to the above, I agree to comply with and enforce the code of conduct and in the case I need more information on this, I will contact the Committee of ethics of Genomma Lab international, S.A.B. de C.V.

Date: _____

Supplier or business partner: _____

Name of Legal Representative: _____

Position : _____

Signature: _____