

UNITED NATIONS GLOBAL COMPACT
2009 Communications on Progress
Submitted on June 30, 2009

I. Statement from President

Marc J. Lane Investment Management, Inc. is fully committed to the United Nations Global Compact's ten universally accepted principles in the areas of human rights, labor, the environment, and anti-corruption.

We are an investment firm with a strong focus on positive corporate governance, a respect for the environment and social justice. Utilizing our proprietary Advocacy Investing® strategy, we build investment portfolios in which both equities and fixed-income securities are selected by exacting financial and governance standards, as well as criteria reflective of each investor's unique environmental, social and governance concerns. Through this work, we actively encourage investment decisions that directly support the United Nations Global Compact's ten principles.

To ensure best practices, we apply the Global Investment Performance Standards (GIPS®) in our operations to guide our services for our clients. The adherence to these rigorous standards provides transparency, a framework for our operations, and ensures the highest integrity in our investment professionals.

Marc J. Lane Investment Management, Inc. is committed to continuously improve our process. We conduct weekly Investment Committee Meetings to review our portfolios for both fundamental and sustainability criteria, identify and recommend ways to improve our environmental and social screening process, document and evaluate our results, and improve our portfolio performance.

Going forward, we seek to explore the growing opportunities for shareholder advocacy to better address the social and environmental concerns reflected in our clients' investments.

Marc J. Lane Investment Management, Inc. continues to learn and develop as a sustainable and responsible business. We are committed to this process as a long-term and ongoing effort and we pledge to continually strive for improvement in all areas of our operations.

Sincerely,

A handwritten signature in blue ink that reads "Marc J. Lane". The signature is fluid and cursive, with the first name "Marc" and last name "Lane" clearly legible.

Marc J. Lane
President
June 30, 2009

II. Implementation of the Global Compact and its Principles

Marc J. Lane Investment Management, Inc. is committed to supporting the United Nations Global Compact's ten principles on human rights, labor, the environment, and anti-corruption. As a sustainable and responsible investment management firm, we identify and make investments in companies whose corporate behavior reflect and promote our investors' personal beliefs and values on environmental and social issues. Typical social factors we consider might include evaluating the inclusion of women and minorities in a company's board of directors or within the ranks of executive officers. Environmental concerns we evaluate might include such criteria as the implementation of environmental friendly projects and initiatives.

III. Measurement of Outcome

Marc J. Lane Investment Management, Inc. actively provides education on socially responsible investing online, through webinars, seminar presentations, and public speaking events. We also vote clients' shareholder proxies in accordance with clients' wishes or in their absence, under our extensive proxy voting guidelines. Our monthly newsletter, Advocacy Investing® Portfolio Strategies, is another tool that we use to inform. Begun this year, the initial subscription target for our newsletter subscription was 1,000 subscribers by the end of 2009. To date, we have 3,323 subscribers and are growing.

Meeting with clients and prospects, financial advisors, and the socially responsible community, we proactively spread the word on the United Nations Global Compact's ten principles. We have exceeded our targets for presentations, subscriptions, and media opportunities; however, we continue to strive to improve in our efforts to education and inform.