

2012 UN Global Compact Communication on Progress

Johnson Controls, Inc.

Introduction

Johnson Controls (NYSE: JCI) is a global diversified technology and industrial leader serving customers in over 150 countries. Our 162,000 employees create quality products, services and solutions to optimize energy and operational efficiencies of buildings; lead-acid automotive batteries and advanced batteries for hybrid and electric vehicles; and interior systems for automobiles. Our commitment to sustainability dates back to our roots in 1885, with the invention of the first electric room thermostat. Through our growth strategies and by increasing market share we are committed to delivering value to shareholders and making our customers successful.



More information about Johnson Controls, Inc. can be found on our web site:

<http://www.johnsoncontrol...>

Statement of Continued Support

Message from Stephen A. Roell, Chairman, President and Chief Executive Officer

As an early signatory of the United Nations Global Compact, Johnson Controls is committed to aligning our operations and strategies with the Compact's Ten Principles, which are universally accepted and cover human rights, labor, health and safety, the environment and anti-corruption. Our Human Rights & Sustainability Policy, introduced in 2011, defines how we abide by these principles and related global standards. Our Ethics Policy, which is reviewed annually, guides us as we grow and confront new business issues in the countries in which we operate. Our Ethics Policy defines how we treat each other with fairness, dignity and respect, and provides guidance regarding acceptable and appropriate practices and actions for all of our employees.

As part of our commitment to support the Compact's Ten Principles, we have updated our Communication on Progress (COP) Report as well as met each of the 24 criterion required to achieve "Advanced Level" status. Our COP Report provides details on how we are implementing the Ten Principles and meeting many, if not most, best practices under each criterion relevant to our company.

In addition to posting our COP Report on the UN Global Compact web site, <http://www.unglobalcompact...>, we also post it on our public website: <http://www.johnsoncontrol...>. We also post our most recent Global Reporting Initiative (GRI) Report, Business and Sustainability Report, Philanthropic Report, Ethics Policy and Human Rights & Sustainability Policy on the same web page as the COP Report.

Overall, we work to make our customers' businesses, products and operations more sustainable:

- Building Efficiency optimizes the energy and operational efficiencies of buildings for its customers. In 2011, we introduced our innovative Panoptix™ solution, a new approach to building efficiency that makes it easier and more affordable for owners and operators of any size facility to achieve better building performance.
- Automotive Experience responds to automaker requirements for new interior products and technologies, particularly for smaller and more energy-efficient vehicles. In 2011, we introduced a modular front seat structure, believed to be the lowest-weight structure of its kind on the market. Lightweight seats help improve vehicle fuel consumption and reduce CO2 emissions.
- Power Solutions is leading the advanced battery industry for more fuel efficient vehicles. Over the next several years we will invest \$520 million globally to add battery capacity for Start-Stop vehicles that improve fuel economy and reduce



emissions by turning off the engine when a car is stopped. In 2011, we continued to lead the development of an electrified vehicle industry through the launch of our Lithium-ion hybrid and electric vehicle battery facility in Holland, Michigan.

Besides helping customers reduce energy use and greenhouse gas (GHG) emissions, we seek opportunities to reduce energy in our own facilities around the world. Major goals for reducing energy use and GHG emissions include: energy efficiency retrofits; fleet efficiency improvements; on-site renewable energy; manufacturing process improvements and green power purchases.

We lead efforts to improve sustainability in our supply chain through our Johnson Controls Supplier Sustainability Rating System, a tool designed to promote sustainability, and through the Carbon Disclosure Project's corporate supply chain program. Johnson Controls also reports to the Carbon Disclosure Project (CDP) and responses to their investor, water and supply chain questionnaires. In addition, we are a member of the CDP Supply Chain Program and are committed to driving sustainability into our supply chain.

Johnson Controls was ranked #1 among the 100 Best Corporate Citizens in 2011 and #5 in 2012 by Corporate Responsibility Magazine. In 2012, we were listed for the sixth consecutive year as one of the World's Most Ethical Companies by Ethisphere Magazine. We are proud of our 162,000 employees around the world who demonstrate professionalism and integrity every day. Our culture encourages and supports their efforts to advance our leadership in philanthropy, diversity, health and safety, and ethical behavior.

Stephen A. Roell
Chairman, President and Chief Executive Officer
Johnson Controls
www.johnsoncontrols.com

UN Global Compact Principles and Associated GRI Indicators

Principles		GRI G3 Indicators
Human Rights		
1	Businesses should support and respect the protection of internationally proclaimed human rights.	HR1 HR2 HR3 HR4 HR5 HR6 HR7 HR8 HR9
2	Businesses should ensure that they are not complicit in human rights abuses.	HR1 HR2 HR8
Labour Standards		
3	Businesses should uphold the freedom of association and the effective recognition of the right to collective bargaining.	LA4 LA5 HR5
4	Businesses should support the elimination of all forms of forced and compulsory labour.	HR7
5	Businesses should support the effective abolition of child labour.	HR6
6	Businesses should support the elimination of discrimination in respect of employment and occupation.	LA2 LA13 LA14 HR4
Environment		
7	Businesses should support a precautionary approach to environmental challenges.	4.11
8	Businesses should undertake initiatives to promote greater environmental responsibility.	EN2 EN5 EN7 EN10 EN13 EN14 EN18 EN21 EN22 EN26 EN27 EN30
9	Businesses should encourage the development and diffusion of environmentally friendly technologies.	EN2 EN5 EN7 EN10 EN18 EN26 EN27
Anti-corruption		
10	Businesses should work against corruption in all its forms, including extortion and bribery.	SO2 SO3 SO4

UN Global Compact Advanced Level Criteria

Criterion Number and Description		GRI G3 Indicators																																							
1	The COP describes key aspects of the company's high-level sustainability strategy in line with Global Compact principles	1.1	1.2	2.10	4.11	EC-DMA	EC1	EC2	EC8	EC9	EN-DMA	EN26	EN30	LA7	PR1	PR9																									
2	The COP describes effective decision-making processes and systems of governance for corporate sustainability	1.1	1.2	4.4	4.5	4.8	4.9	LA11	LA12	LA3																															
3	The COP describes engagement with all important stakeholders.	1.2	4.10	4.12	4.14	4.15	4.16	4.17	4.9																																
4	The COP describes actions taken in support of broader UN goals and issues	1.1	1.2	4.12	4.13	4.14	4.16	4.8	EC-DMA	EC6	EC8	EC9	EN-DMA	EN10	EN2	EN25	EN26	EN27	EN29	EN3	EN30	EN4	EN5	EN6	EN7	HR-DMA	HR1	HR5	LA-DMA	LA13	LA9	PR-DMA	SO-DMA	SO1	SO2	SO3	SO4	SO5	SO6	SO7	SO8
5	The COP describes robust commitments, strategies or policies in the area of human rights	1.2	4.8	4.9	HR2																																				
6	The COP describes effective management systems to integrate the human rights principles	1.2	3.13	4.10	4.12	4.17	4.9	EN7	HR-DMA	HR1	HR2	HR3	HR4	HR5	HR6	HR7	HR8	HR9	LA10	LA11	LA12																				
7	The COP describes effective monitoring and evaluation mechanisms of human rights integration	1.2	3.13	3.9	4.10	4.13	4.16	4.17	4.9	HR-DMA	HR1	HR2	LA10	LA11	LA12	LA6	SO-DMA																								
8	The COP describes key outcomes of human rights integration	1.2	4.17	EN23	EN26	EN28	EN30	HR-DMA	HR4	HR9	LA7	PR4	PR7	PR8	PR9	SO-DMA	SO1	SO6	SO7																						
9	The COP describes robust commitments, strategies or policies in the area of labor	1.1	1.2	4.8	4.9	HR-DMA	HR1	HR2	LA13	SO-DMA																															
10	The COP describes effective management systems to integrate the labour principles	1.2	4.12	4.8	4.9	HR-DMA	HR1	HR2	HR3	HR5	HR6	HR7	HR8	HR9	LA-DMA	LA10	LA12	LA8																							
11	The COP describes effective monitoring and evaluation mechanisms of labour principles integration	3.13	3.9	4.11	4.17	4.9	HR-DMA	HR2	LA-DMA	LA11	LA12	LA13	LA6	LA8	SO-DMA																										
12	The COP describes key outcomes of integration of the labour principles	HR-DMA	HR1	HR4	HR5	HR6	HR7	HR9																																	
13	The COP describes robust commitments, strategies or policies in the area of environmental stewardship	1.1	1.2	3.13	4.12	EN-DMA	EN11	EN12	EN13	HR2																															
14	The COP describes effective management systems to integrate the environmental principles	1.1	1.2	3.13	4.11	4.12	4.16	4.9	EC2	EN-DMA	EN1	EN26	EN6	LA10																											
15	The COP describes effective monitoring and evaluation	1.1	1.2	3.13	3.9	4.11	EN-DMA	EN16																																	

mechanisms for environmental stewardship	EN17 EN30
16 The COP describes key outcomes of integration of the environmental principles	1.1 1.2 4.11 EN-DMA EN1 EN10 EN11 EN12 EN13 EN14 EN15 EN16 EN17 EN18 EN19 EN2 EN20 EN21 EN22 EN23 EN24 EN25 EN26 EN27 EN28 EN29 EN3 EN30 EN4 EN5 EN6 EN7 EN8 EN9
17 The COP describes robust commitments, strategies or policies in the area of anti-corruption	4.9 HR-DMA HR1 HR2 SO-DMA SO2 SO3 SO4
18 The COP describes effective management systems to integrate the anti-corruption principle	3.9 4.14 4.15 4.16 4.17 4.9 HR-DMA HR1 HR2 SO-DMA SO2 SO3 SO4
19 The COP describes effective monitoring and evaluation mechanisms for the integration of anti-corruption	1.2 3.13 4.12 4.9 HR-DMA SO-DMA SO3 SO4
20 The COP describes key outcomes of integration of the anti-corruption principle	SO4
21 The COP describes implementation of the Global Compact principles in the value chain	1.2 3.13 4.11 4.12 4.13 4.14 4.16 4.17 4.8 4.9 EC1 EC2 EC8 EC9 EN1 EN10 EN11 EN12 EN13 EN14 EN15 EN16 EN17 EN18 EN19 EN2 EN20 EN21 EN22 EN23 EN24 EN25 EN26 EN27 EN28 EN29 EN3 EN30 EN4 EN5 EN6 EN7 EN8 EN9 HR-DMA HR1 HR2 HR3 HR5 HR6 HR7 HR8 HR9 LA-DMA LA10 LA11 LA12 LA13 LA3 LA4 LA5 LA6 LA7 LA8 PR3 PR5 PR7 SO-DMA SO1 SO3 SO6 SO8
22 The COP provides information on the company's profile and context of operation	1.1 1.2 2.1 2.10 2.2 2.3 2.4 2.5 2.6 2.7 2.8 2.9 EC1 EC8 EC9
23 The COP incorporates high standards of transparency and disclosure	1.1 1.2 3.12 EC2
24 The COP is independently verified by a credible third-party	3.13 4.15 4.16